

# *Vote Pike River Re-entry*

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APPROPRIATION MINISTER(S): Minister Responsible for Pike River Re-entry (M99)

APPROPRIATION ADMINISTRATOR: Te Kahui Whakamana Rua Tekau ma Iwa — Pike River Recovery Agency

RESPONSIBLE MINISTER FOR TE KAHUI WHAKAMANA RUA TEKAU MA IWA — PIKE RIVER RECOVERY AGENCY: Minister Responsible for Pike River Re-entry

## *Overview of the Vote*

The Minister Responsible for Pike River Re-entry is responsible for a multi-year appropriation that includes \$7.400 million in 2020/21 for the operations of the Pike River Recover Agency relating to:

- the re-entry and recovery of the Pike River mine drift and rehabilitation of the mine site in accordance with the Memorandum of Understanding entered into with the Department of Conservation, and
- the disestablishment of the Pike River Recovery Agency.

Details of these appropriations are set out in Parts 2-4.

# Details of Appropriations and Capital Injections

## Multi-Year Appropriations

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Departmental Output Expenses</b>		
<b>Pike River Recovery (M99)</b> This appropriation is limited to the establishment and operations of the Pike River Recovery Agency.  Commences: 31 January 2018  Expires: 30 June 2021	Original Appropriation	21,974
	Adjustments to 2018/19	13,276
	Adjustments for 2019/20	15,405
	Adjusted Appropriation	50,655
	Actual to 2018/19 Year End	17,593
	Estimated Actual for 2019/20	25,662
	Estimate for 2020/21	7,400
	Estimated Appropriation Remaining	-
<b>Non-Departmental Output Expenses</b>		
<b>Independent Advice on Pike River Recovery (M99)</b> This appropriation is limited to independent advice, and related costs, to support decision-making by the Minister Responsible for Pike River Re-entry.  Commences: 31 January 2018  Expires: 31 December 2020	Original Appropriation	75
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	75
	Actual to 2018/19 Year End	47
	Estimated Actual for 2019/20	28
	Estimate for 2020/21	-
Estimated Appropriation Remaining	-	

## Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Annual Appropriations and Forecast Permanent Appropriations	-	-	-
Total Forecast MYA Departmental Output Expenses	25,662	25,662	7,400
Total Forecast MYA Non-Departmental Output Expenses	28	28	-
<b>Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations</b>	<b>25,690</b>	<b>25,690</b>	<b>7,400</b>

## Capital Injection Authorisations

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Te Kahui Whakamana Rua Tekau ma Iwa — Pike River Recovery Agency - Capital Injection (M99)	750	750	-

## *Supporting Information*

### Part 1 - Vote as a Whole

#### 1.1 - New Policy Initiatives

Policy Initiative	Appropriation	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
Operational Plan for Re-entry - Further Progress Report	Pike River Recovery	8,800	6,200			

## 1.2 - Trends in the Vote

### Summary of Financial Activity

	2015/16	2016/17	2017/18	2018/19	2019/20		2020/21			2021/22	2022/23	2023/24
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Final Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
<b>Appropriations</b>												
Output Expenses	-	-	2,151	15,489	25,690	25,690	7,400	-	7,400	-	-	-
Benefits or Related Expenses	-	-	-	-	-	-	N/A	-	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Capital Expenditure	-	-	343	561	-	-	-	-	-	-	-	-
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)												
<i>Output Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Other Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-	N/A	-	-	-	-	-
<b>Total Appropriations</b>	-	-	2,494	16,050	25,690	25,690	7,400	-	7,400	-	-	-
<b>Crown Revenue and Capital Receipts</b>												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-
<b>Total Crown Revenue and Capital Receipts</b>	-	-	-	-	-	-	N/A	-	-	-	-	-

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered. In this instance Total Appropriations for the Budgeted and Estimated Actual year may not equal Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations in the Details of Appropriations and Capital Injections.

## **Adjustments to the Summary of Financial Activity Table Due to Vote Restructuring**

There have been no restructuring adjustments to prior year information in the Summary of Financial Activity table.

## Part 2 - Details of Departmental Appropriations

### 2.1 - Departmental Output Expenses

#### Pike River Recovery (M99)

##### *Scope of Appropriation and Expenses*

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
<b>Pike River Recovery (M99)</b> This appropriation is limited to the establishment and operations of the Pike River Recovery Agency.  Commences: 31 January 2018  Expires: 30 June 2021	Original Appropriation	21,974
	Adjustments to 2018/19	13,276
	Adjustments for 2019/20	15,405
	Adjusted Appropriation	50,655
	Actual to 2018/19 Year End	17,593
	Estimated Actual for 2019/20	25,662
	Estimate for 2020/21	7,400
	Estimated Appropriation Remaining	-

##### *Revenue*

	Budget \$000
Revenue from the Crown to end of 2020/21	50,183
Revenue from Others to end of 2020/21	472
<b>Total Revenue</b>	<b>50,655</b>

##### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve a plan for the safe manned re-entry to the drift and a recommendation to the responsible Minister about whether the risks can, as much as is reasonably practicable, be adequately managed, and then if the responsible Minister agrees, to execute the plan.

##### *How Performance will be Assessed and End of Year Reporting Requirements*

Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
The satisfaction of the Families Reference Group (FRG) with the engagement and liaison service, as measured using the Common Measurements tool, is at least a '3' (see Note 1)	3 - Met Expectation	3 - Met Expectation	3 - Met Expectation
Legally compliant sealing of the mine completed, and mine site rehabilitated in accordance with the Memorandum of Understanding entered into with the Department of Conservation. To be completed following mine re-entry and completion of forensic work.	By 31 December 2020	By 31 December 2020	By 31 December 2020
Number of fatal work accidents or injuries to Agency staff	Nil	Nil	Nil
Number of infringement or prohibition notices issued to the Agency by WorkSafe NZ.	Nil	Nil	Nil



Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
Number of lost time injuries reported to WorkSafe NZ under Schedule 8 of the Health and Safety at Work (Mining Operations and Quarrying Operations) Regulations 2016	Nil	Nil	Nil
Hazard report to incident report ratio is 2:1	Achieved	Achieved	Achieved
The Minister's satisfaction with the information, advice and ministerial support the Agency provides to him	A score between 7-10	A score between 7-10	A score between 7-10

Note 1 - The Common Measurements tool measures external client satisfaction and identifies areas for delivery improvement in the public sector on a scale of 1 to 5, where 1 means fell well short of expectations and 5 means far exceeded expectations.

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Pike River Recovery Agency in its Annual Report.

### *Current and Past Policy Initiatives*

Policy Initiative	Year of First Impact	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
Operational Plan for Re-entry - Further Progress Report	2019/20	8,800	6,200			
Operational Plan for Re-entry	2018/19	10,860	1,110			
Funding for the Pike River Recovery Agency	2017/18	1,239				

## 2.3 - Departmental Capital Expenditure and Capital Injections

### *Capital Injections and Movements in Departmental Net Assets*

#### **Te Kahui Whakamana Rua Tekau mā Iwa — Pike River Recovery Agency**

Details of Net Asset Schedule	2019/20 Estimated Actual \$000	2020/21 Projected \$000	Explanation of Projected Movements in 2020/21
Opening Balance	500	1,250	
Capital Injections	750	-	
Capital Withdrawals	-	(1,250)	Reflects the anticipated completion of the Pike River Recovery Agency's operations by the end of 2020/21.
Surplus to be Retained (Deficit Incurred)	-	-	
Other Movements	-	-	
<b>Closing Balance</b>	<b>1,250</b>	<b>-</b>	