

Vote Pike River Re-entry

APPROPRIATION MINISTER(S): Minister Responsible for Pike River Re-entry (M99)

DEPARTMENT ADMINISTERING THE VOTE: Te Kāhui Whakamana Rua Tekau mā Iwa — Pike River Recovery Agency (A39)

RESPONSIBLE MINISTER FOR TE KĀHUI WHAKAMANA RUA TEKAU MĀ IWA — PIKE RIVER RECOVERY AGENCY: Minister Responsible for Pike River Re-entry

Overview of the Vote

The Minister Responsible for Pike River Re-entry is responsible for a multi-year appropriation for the operations of the Pike River Recover Agency relating to:

- just over \$1 million in 2021/22 for the re-entry and recovery of the Pike River mine drift and rehabilitation of the mine site.

Details of this appropriation is set out in Parts 2-4.

Details of Appropriations and Capital Injections

Multi-Year Appropriations

| Type, Title, Scope and Period of Appropriations | Appropriations, Adjustments and Use | \$000 |
|--|-------------------------------------|--------|
| Departmental Output Expenses | | |
| Pike River Recovery (M99) (A39) This appropriation is limited to the establishment and operations of the Pike River Recovery Agency. Commences: 31 January 2018 Expires: 30 June 2022 | Original Appropriation | 21,974 |
| | Adjustments to 2019/20 | 28,681 |
| | Adjustments for 2020/21 | 2,902 |
| | Adjusted Appropriation | 53,557 |
| | Actual to 2019/20 Year End | 35,274 |
| | Estimated Actual for 2020/21 | 17,248 |
| | Estimate for 2021/22 | 1,035 |
| | Estimated Appropriation Remaining | - |
| Non-Departmental Output Expenses | | |
| Independent Advice on Pike River Recovery (M99) (A39) This appropriation is limited to independent advice, and related costs, to support decision-making by the Minister Responsible for Pike River Re-entry. Commences: 31 January 2018 Expires: 31 December 2020 | Original Appropriation | 75 |
| | Adjustments to 2019/20 | - |
| | Adjustments for 2020/21 | - |
| | Adjusted Appropriation | 75 |
| | Actual to 2019/20 Year End | 47 |
| | Estimated Actual for 2020/21 | 12 |
| | Estimate for 2021/22 | - |
| | Estimated Appropriation Remaining | 16 |

Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations

| | 2020/21 | | 2021/22 |
|--|----------------------|------------------------|--------------|
| | Final Budgeted \$000 | Estimated Actual \$000 | Budget \$000 |
| Total Annual Appropriations and Forecast Permanent Appropriations | - | - | - |
| Total Forecast MYA Departmental Output Expenses | 17,248 | 17,248 | 1,035 |
| Total Forecast MYA Non-Departmental Output Expenses | 28 | 12 | - |
| Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations | 17,276 | 17,260 | 1,035 |

Capital Injection Authorisations

| | 2020/21 | | 2021/22 |
|--|-------------------------|---------------------------|-----------------|
| | Final Budgeted \$000 | Estimated Actual \$000 | Budget \$000 |
| Te Kāhui Whakamana Rua Tekau mā Iwa — Pike River Recovery Agency - Capital Injection (M99) (A39) | - | - | - |

Supporting Information

Part 1 - Vote as a Whole

This part provides trend information for the vote.

1.2 - Trends in the Vote

Summary of Financial Activity

| | 2016/17 | 2017/18 | 2018/19 | 2019/20 | 2020/21 | | 2021/22 | | | 2022/23 | 2023/24 | 2024/25 |
|--|-----------------|-----------------|-----------------|-----------------|----------------------------|------------------------------|---|---|--------------------------|--------------------|--------------------|--------------------|
| | Actual \$000 | Actual \$000 | Actual \$000 | Actual \$000 | Final Budgeted \$000 | Estimated Actual \$000 | Departmental Transactions Budget \$000 | Non- Departmental Transactions Budget \$000 | Total Budget \$000 | Estimated \$000 | Estimated \$000 | Estimated \$000 |
| Appropriations | | | | | | | | | | | | |
| Output Expenses | - | 2,151 | 15,489 | 17,681 | 17,264 | 17,248 | 1,035 | - | 1,035 | - | - | - |
| Benefits or Related Expenses | - | - | - | - | - | - | N/A | - | - | - | - | - |
| Borrowing Expenses | - | - | - | - | - | - | - | - | - | - | - | - |
| Other Expenses | - | - | - | - | - | - | - | - | - | - | - | - |
| Capital Expenditure | - | 343 | 561 | - | - | - | - | - | - | - | - | - |
| Intelligence and Security Department Expenses and Capital Expenditure | - | - | - | - | - | - | - | N/A | - | - | - | - |
| Multi-Category Expenses and Capital Expenditure (MCA) | | | | | | | | | | | | |
| <i>Output Expenses</i> | - | - | - | - | - | - | - | - | - | - | - | - |
| <i>Other Expenses</i> | - | - | - | - | - | - | - | - | - | - | - | - |
| <i>Capital Expenditure</i> | - | - | - | - | - | - | N/A | - | - | - | - | - |
| Total Appropriations | - | 2,494 | 16,050 | 17,681 | 17,264 | 17,248 | 1,035 | - | 1,035 | - | - | - |
| Crown Revenue and Capital Receipts | | | | | | | | | | | | |
| Tax Revenue | - | - | - | - | - | - | N/A | - | - | - | - | - |
| Non-Tax Revenue | - | - | - | - | - | - | N/A | - | - | - | - | - |
| Capital Receipts | - | - | - | - | - | - | N/A | - | - | - | - | - |
| Total Crown Revenue and Capital Receipts | - | - | - | - | - | - | N/A | - | - | - | - | - |

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered. In this instance Total Appropriations for the Budgeted and Estimated Actual year may not equal Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations in the Details of Appropriations and Capital Injections.

Adjustments to the Summary of Financial Activity Table Due to Vote Restructuring

There have been no restructuring adjustments to prior year information in the Summary of Financial Activity table.

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Pike River Recovery (M99) (A39)

Scope of Appropriation and Expenses

| Type, Title, Scope and Period of Appropriations | Appropriations, Adjustments and Use | \$000 |
|---|-------------------------------------|--------|
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| | Estimated Appropriation Remaining | - |

Revenue

| | Budget \$000 |
|--|-----------------|
| Revenue from the Crown to end of 2021/22 | 54,518 |
| Revenue from Others to end of 2021/22 | 577 |
| Total Revenue | 55,095 |

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve a plan for the safe manned re-entry to the drift and a recommendation to the responsible Minister about whether the risks can, as much as is reasonably practicable, be adequately managed, and then if the responsible Minister agrees, to execute the plan.

How Performance will be Assessed and End of Year Reporting Requirements

| Assessment of Performance | 2020/21 | | 2021/22 |
|---|-------------------------|---------------------|---------------------|
| | Final Budgeted Standard | Estimated Actual | Budget Standard |
| The satisfaction of the Families Reference Group (FRG) with the engagement and liaison service, as measured using the Common Measurements tool, is at least a '3' (see Note 1) | 3 - Met Expectation | 3 - Met Expectation | 3 - Met Expectation |
| Legally compliant sealing of the mine completed, and mine site rehabilitated in accordance with the Memorandum of Understanding entered into with the Department of Conservation. To be completed following mine re-entry and completion of forensic work | By 31 October 2021 | By 31 October 2021 | By 31 October 2021 |

| Assessment of Performance | 2020/21 | | 2021/22 |
|--|-------------------------|----------------------|----------------------|
| | Final Budgeted Standard | Estimated Actual | Budget Standard |
| Number of fatal work accidents or injuries to Agency staff | Nil | Nil | Nil |
| Number of infringement or prohibition notices issued to the Agency by WorkSafe NZ | Nil | Nil | Nil |
| Number of lost time injuries reported to WorkSafe NZ under Schedule 8 of the Health and Safety at Work (Mining Operations and Quarrying Operations) Regulations 2016 | Nil | Nil | Nil |
| Hazard report to incident report ratio is 2:1 | Achieved | Achieved | Achieved |
| The Minister's satisfaction with the information, advice and ministerial support the Agency provides to him | A score between 7-10 | A score between 7-10 | A score between 7-10 |

Note 1 - The Common Measurements tool measures external client satisfaction and identifies areas for delivery improvement in the public sector on a scale of 1 to 5, where 1 means fell well short of expectations and 5 means far exceeded expectations.

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Pike River Recovery Agency in its Annual Report.

Current and Past Policy Initiatives

| Policy Initiative | Year of First Impact | 2020/21 Final Budgeted \$000 | 2021/22 Budget \$000 | 2022/23 Estimated \$000 | 2023/24 Estimated \$000 | 2024/25 Estimated \$000 |
|---|----------------------|------------------------------|----------------------|-------------------------|-------------------------|-------------------------|
| Operational Plan for Re-entry - Further Progress Report | 2019/20 | 6,200 | - | - | - | - |
| Operational Plan for Re-entry | 2018/19 | 1,110 | - | - | - | - |