

# *Vote Corrections*

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APPROPRIATION MINISTER(S): Minister of Corrections (M18)

APPROPRIATION ADMINISTRATOR: Department of Corrections

RESPONSIBLE MINISTER FOR DEPARTMENT OF CORRECTIONS: Minister of Corrections

## Overview of the Vote

The Minister of Corrections is responsible for appropriations in Vote Corrections for the 2020/21 financial year covering:

### Operating Expenditure:

- a total of just over \$1,144 million for the provision of custodial services including long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody
- a total of nearly \$325 million for the provision of case management and interventions designed to achieve a reduction in re-offending by focusing on the wellbeing of those completing sentences and their whānau
- a total of nearly \$260 million for the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail
- a total of nearly \$75 million for the provision of information about offenders to: victims of crime, the Judiciary and the New Zealand Parole Board, and the provision of administrative, financial and secretariat services to the New Zealand Parole Board
- a total of nearly \$34 million for non-departmental infrastructure improvements to enable the development of the Waikeria Corrections and Treatment Facility hereafter referred to as Waikeria Mental Health and Addiction Service Facility
- a total of nearly \$3 million for the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters, and
- a total of just over \$2 million for Ara Poutama Aotearoa responses to ministerial correspondence and parliamentary questions.

### Capital Expenditure:

- a total of just over \$587 million on the purchase or development of assets by and for the use of Ara Poutama Aotearoa.

The Minister of Corrections is also responsible for a capital injection to Ara Poutama Aotearoa of nearly \$16 million.

Details of these appropriations are set out in Parts 2-4.

# Details of Appropriations and Capital Injections

## Annual Appropriations and Forecast Permanent Appropriations

Titles and Scopes of Appropriations by Appropriation Type	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<b>Departmental Output Expenses</b>			
<b>Re-offending is Reduced (M18)</b> This appropriation is limited to the provision of rehabilitation interventions, reintegration and support services to defendants on bail and offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.	299,811	299,811	<b>324,951</b>
<b>Total Departmental Output Expenses</b>	299,811	299,811	324,951
<b>Departmental Other Expenses</b>			
<b>Transfer of Auckland Prison Wastewater Assets (M18)</b> This appropriation is limited to the transfer of Auckland Prison Wastewater Assets to Watercare Services Limited.	9,100	9,100	-
<b>Total Departmental Other Expenses</b>	9,100	9,100	-
<b>Departmental Capital Expenditure</b>			
<b>Department of Corrections - Capital Expenditure PLA (M18)</b> This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.	412,669	412,669	587,033
<b>Total Departmental Capital Expenditure</b>	412,669	412,669	587,033
<b>Non-Departmental Other Expenses</b>			
<b>Waikeria Corrections and Treatment Facility (M18)</b> This appropriation is limited to infrastructure improvements that are either a condition of the designation or otherwise necessary to enable the development of the Waikeria Corrections and Treatment Facility.	37,782	37,782	<b>33,518</b>
<b>Total Non-Departmental Other Expenses</b>	37,782	37,782	33,518
<b>Multi-Category Expenses and Capital Expenditure</b>			
<b>Policy Advice and Ministerial Services MCA (M18)</b> The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.	5,067	5,067	<b>4,861</b>
<b>Departmental Output Expenses</b>			
<b>Ministerial Services</b> This category is limited to Department responses to ministerial correspondence and parliamentary questions.	2,336	2,336	2,305
<b>Policy Advice</b> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.	2,731	2,731	2,556
<b>Public Safety is Improved MCA (M18)</b> The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.	1,446,563	1,446,563	<b>1,478,922</b>

Titles and Scopes of Appropriations by Appropriation Type	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<b>Departmental Output Expenses</b>			
<i>Information and Administrative Services to the Judiciary and New Zealand Parole Board</i> This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.	73,962	73,962	74,851
<i>Prison-based Custodial Services</i> This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.	1,119,428	1,119,428	1,144,095
<i>Sentences and Orders Served in the Community</i> This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.	253,173	253,173	259,976
<b>Total Multi-Category Expenses and Capital Expenditure</b>	1,451,630	1,451,630	1,483,783
<b>Total Annual Appropriations and Forecast Permanent Appropriations</b>	2,210,992	2,210,992	2,429,285

## Capital Injection Authorisations

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Department of Corrections - Capital Injection (M18)	27,350	27,350	15,600

# Supporting Information

## Part 1 - Vote as a Whole

### 1.1 - New Policy Initiatives

Policy Initiative	Appropriation	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
Maintaining a Safe Prison Network	Public Safety is Improved MCA - Prison-based Custodial Services	-	21,551	22,454	22,454	22,454
	Re-offending is Reduced	-	2,700	2,597	2,597	2,597
Maintaining Safe Community Services	Public Safety is Improved MCA - Various	-	3,380	4,380	4,380	4,380
	Re-offending is Reduced	-	120	120	120	120
Infrastructure Resilience	Re-offending is Reduced	360	5,160	1,360	1,360	1,360
	Public Safety is Improved MCA - Various	1,440	41,640	7,440	7,440	7,440
Hōkai Rangī - Pre-Trial Service - providing early support to lower number of people entering (or remaining in) custody	Public Safety is Improved MCA - Prison-based Custodial Services	-	5,390	7,910	9,380	10,570
	Re-offending is Reduced	-	2,310	3,390	4,020	4,530
	Departmental Capital Injections	-	500	300	800	500
High Impact Innovation Programme - continued innovation across the Justice Sector	Public Safety is Improved MCA - Prison-based Custodial Services	-	3,710	-	-	-
	Re-offending is Reduced	-	1,590	-	-	-
He Kete Oranga o te Mana Wāhine	Re-offending is Reduced	-	1,360	1,360	-	-
Recovery House	Re-offending is Reduced	600	520	520	-	-
Intergenerational change for child and community wellbeing through parenting programmes: Storytime Foundation & Brainwave	Re-offending is Reduced	209	946	968	-	-
Te Ira Tangata under the framework of Te Ira Oranga	Re-offending is Reduced	-	739	649	649	-
Preventing and Reducing Homelessness	Re-offending is Reduced	1,170	1,720	1,980	870	260
Reintegration of Services for Women	Re-offending is Reduced	1,941	2,031	971	-	-
Improving the Justice Response to Sexual Violence Victims	Public Safety is Improved MCA - Prison-based Custodial Services	-	-	-	-	221

Policy Initiative	Appropriation	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	Re-offending is Reduced	-	-	-	-	(200)
	Public Safety is Improved MCA - Prison-based Custodial Services	-	-	-	-	(800)
Wellington Accommodation Project (WAP2) Reconfiguration Programme	Re-offending is Reduced	97	141	44	44	44
	Public Safety is Improved MCA - Various	3,168	4,592	1,443	1,443	1,443
	Departmental Capital Injections	-	10,000	-	-	-
Responding to March Mosque Attack	Public Safety is Improved MCA - Prison-based Custodial Services	2,718	1,661	-	-	-
<b>Total Initiatives</b>		<b>11,703</b>	<b>111,761</b>	<b>57,886</b>	<b>55,557</b>	<b>54,919</b>

## 1.2 - Trends in the Vote

### Summary of Financial Activity

	2015/16	2016/17	2017/18	2018/19	2019/20		2020/21			2021/22	2022/23	2023/24
	Actual \$000	Actual \$000	Actual \$000	Actual \$000	Final Budgeted \$000	Estimated Actual \$000	Departmental Transactions Budget \$000	Non- Departmental Transactions Budget \$000	Total Budget \$000	Estimated \$000	Estimated \$000	Estimated \$000
<b>Appropriations</b>												
Output Expenses	176,308	180,869	215,676	243,102	299,811	299,811	324,951	-	324,951	337,041	349,155	347,325
Benefits or Related Expenses	-	-	-	-	-	-	N/A	-	-	-	-	-
Borrowing Expenses	-	-	-	-	-	-	-	-	-	-	-	-
Other Expenses	-	-	6,667	7,411	46,882	46,882	-	33,518	33,518	1,000	-	-
Capital Expenditure	246,715	256,654	260,786	560,397	412,669	412,669	587,033	-	587,033	274,563	178,000	178,000
Intelligence and Security Department Expenses and Capital Expenditure	-	-	-	-	-	-	-	N/A	-	-	-	-
Multi-Category Expenses and Capital Expenditure (MCA)												
<i>Output Expenses</i>	1,120,277	1,164,131	1,258,159	1,366,034	1,451,630	1,451,630	1,483,783	-	1,483,783	1,459,239	1,525,504	1,526,115
<i>Other Expenses</i>	-	-	-	-	-	-	-	-	-	-	-	-
<i>Capital Expenditure</i>	-	-	-	-	-	-	N/A	-	-	-	-	-
<b>Total Appropriations</b>	1,543,300	1,601,654	1,741,288	2,176,944	2,210,992	2,210,992	2,395,767	33,518	2,429,285	2,071,843	2,052,659	2,051,440
<b>Crown Revenue and Capital Receipts</b>												
Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Non-Tax Revenue	-	-	-	-	-	-	N/A	-	-	-	-	-
Capital Receipts	-	-	-	-	-	-	N/A	-	-	-	-	-
<b>Total Crown Revenue and Capital Receipts</b>	-	-	-	-	-	-	N/A	-	-	-	-	-

Note - where restructuring of the vote has occurred then, to the extent practicable, prior years information has been restated as if the restructuring had occurred before the beginning of the period covered. In this instance Total Appropriations for the Budgeted and Estimated Actual year may not equal Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations in the Details of Appropriations and Capital Injections.

*Adjustments to the Summary of Financial Activity Table Due to Vote Restructuring*

There has been no restructuring to prior years' information in the Summary of Financial Activity Table.



## 1.3 - Analysis of Significant Trends

### *Departmental Output Expense Trends*

In Budget 2020 Ara Poutama Aotearoa received funding for cost pressures for maintaining a safe and effective prison network including funding for training frontline staff, wage and unitary charge indexation, ongoing operation cost pressures; retaining safe and effective community-based sentences and orders including rent increases for community corrections sites, increased volumes of electronic monitoring and wages; and infrastructure resilience including facilities maintenance, digital and utilities pressure and asset revaluation impacts.

Funding was also received to maintain and expand a pre-trial service to help stabilise the remand population and lower the number of people entering custody. In addition, Ara Poutama Aotearoa will be commencing the Hōkai Nuku change programme to implement the Hōkai Rangī strategy. The Hōkai Rangī strategy intends to reduce the over representation of Māori in our care.

### *Departmental Capital Expenditure*

Ara Poutama Aotearoa is continuing to complete a prison capacity programme of work that responded to the rapid growth in prison population during 2016-2018 to ensure sufficient capacity to accommodate the current and expected prison population. There is also the ongoing requirement to maintain our existing asset footprint. The immediate challenge is therefore to accommodate the prison population, recently influenced by a rising remand population, whilst attempting to remove poor quality capacity and create resilience to respond to changing demand and service needs.

Design and construction of the Waikeria Mental Health and Addiction Service Facility under a Public Private Partnership, including the Mental Health Precinct also continues. When complete in early 2022, this will provide 500 high security beds and 100 Mental Health and Addiction Service beds.

## Part 2 - Details of Departmental Appropriations

### 2.1 - Departmental Output Expenses

#### Re-offending is Reduced (M18)

##### *Scope of Appropriation*

This appropriation is limited to the provision of rehabilitation interventions, reintegration and support services to defendants on bail and offenders serving custodial and community-based sentences that address the underlying causes of criminal offending and reduce re-offending.

##### *Expenses and Revenue*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	299,811	299,811	324,951
Revenue from the Crown	264,841	264,841	298,006
Revenue from Others	32,221	32,221	26,945

##### *Components of the Appropriation*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Case Management	42,277	42,277	52,332
Interventions - Training and Education	6,595	6,595	8,706
Interventions - Offender Employment	72,954	72,954	77,632
Interventions - Rehabilitation	130,534	130,534	138,830
Interventions - Reintegration	47,451	47,451	47,451
Total	299,811	299,811	324,951

##### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve a reduction in re-offending rates by focusing on the wellbeing of those completing sentences and their whānau. It provides for the timely assessment of the rehabilitative and reintegrative needs of offenders and those remanded in custody, as well as end-to-end bail support for defendants. This appropriation ensures identified offender and defendant needs are addressed through culturally appropriate and whānau-centred wellbeing interventions.

### *How Performance will be Assessed and End of Year Reporting Requirements*

	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
Assessment of Performance			
The proportion of all offenders entitled to receive an offender plan that received one within Standards of Practice timeframes	85%	85%	85%
The proportion of prisoners who have completed an intensive literacy and numeracy programme who demonstrate statistically significant gains	35%	35%	35%
The percentage of offenders who start and complete a rehabilitation programme:			
• Prisoners	85%	85%	85%
• Community-based offenders	65%	65%	65%
The total number of referrals made to reintegration service providers	5,000	5,000	5,000
The total number of referrals made to reintegration service providers that resulted in a programme start	4,000	4,000	4,000
The percentage of target hours prisoners are engaged in industry, treatment and learning activities	80%	80%	80%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Ara Poutama Aotearoa in its Annual Report.

### *Current and Past Policy Initiatives*

Policy Initiative	Year of First Impact	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
<b>Current Government</b>						
Maintaining a Safe Prison Network	2020/21	-	2,700	2,597	2,597	2,597
Maintaining Safe Community Services	2020/21	-	120	120	120	120
Infrastructure Resilience	2019/20	360	5,160	1,360	1,360	1,360
Hōkai Rangi - Pre-Trial Service - providing early support to lower number of people entering (or remaining in) custody	2020/21	-	2,310	3,390	4,020	4,530
High Impact Innovation Programme - continued innovation across the Justice Sector	2020/21	-	1,590	-	-	-
He Kete Oranga o te Mana Wāhine	2020/21	-	1,360	1,360	-	-
Recovery House	2019/20	600	520	520	-	-
Intergenerational change for child and community wellbeing through parenting programmes: Storytime Foundation & Brainwave	2019/20	209	946	968	-	-
Te Ira Tangata under the framework of Te Ira Oranga	2020/21	-	739	649	649	-
Preventing and Reducing Homelessness	2019/20	1,170	1,720	1,980	870	260
Reintegration of Services for Women	2019/20	1,941	2,031	971	-	-

Policy Initiative	Year of First Impact	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
Wellington Accommodation Project (WAP2) Reconfiguration Programme	2019/20	97	141	44	44	44
Increasing Access to Mental Health and Addiction Support	2019/20	16,661	26,878	38,660	41,387	41,387
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part B	2019/20	9,065	14,506	17,297	19,102	19,102
Māori Pathway - Improving Outcomes for Māori and their Whānau in the Corrections System and Supporting their Reintegration Back into Communities - Part A	2019/20	1,800	2,500	3,000	3,100	3,100
Enhancing Specialist Alcohol and Other Drug Services	2019/20	500	500	500	500	500
High Impact Innovation Programme (HIIP)	2019/20	5,300	-	-	-	-
Bail Support Services Pilot	2019/20	2,560	-	-	-	-
Housing and Support Services for People Managed by Corrections	2018/19	15,586	17,895	19,885	16,700	16,700
Residential AOD for Female Defendants and Parolees	2018/19	569	-	-	-	-
Speech Language and Communication Needs	2018/19	57	-	-	-	-
Therapeutic Prison Unit Pilot	2018/19	1,940	-	-	-	-
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	2018/19	1,800	1,800	6,200	21,700	21,500
Prisoner Volumes - Responding to Growth	2018/19	15,707	15,732	15,747	15,747	15,747
Offenders In The Community - Maintaining Public Safety	2018/19	4,883	5,015	3,019	3,019	3,019
Burglary Prevention	2017/18	5,355	7,107	7,107	7,107	7,107
<b>Previous Government</b>						
Sentences and Orders Served in the Community - Increased Investment	2017/18	634	738	738	738	738
Prisoner Volumes	2017/18	10,340	12,714	12,714	12,714	12,714
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	2,380	2,415	2,415	2,415	2,415
Industry, Treatment, and Learning Interventions - Increasing Access	2017/18	5,650	5,650	5,650	5,650	5,650
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	2,640	4,400	4,400	4,400	4,400
Temporary Release and Pre-release Planning (Guided Release)	2016/17	1,000	1,000	1,000	1,000	1,000

### *Reasons for Change in Appropriation*

The increase in this appropriation for 2020/21 is mainly due to additional investment in pre-trial and the High Impact Innovation Programme, rehabilitation and reintegration activities focussed on improved access to mental health and addiction services, and housing support.

## 2.3 - Departmental Capital Expenditure and Capital Injections

### Department of Corrections - Capital Expenditure PLA (M18)

#### *Scope of Appropriation*

This appropriation is limited to the purchase or development of assets by and for the use of the Department of Corrections, as authorised by section 24(1) of the Public Finance Act 1989.

#### *Capital Expenditure*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Forests/Agricultural	-	-	-
Land	-	-	-
Property, Plant and Equipment	372,036	372,036	539,210
Intangibles	40,633	40,633	47,823
Other	-	-	-
<b>Total Appropriation</b>	<b>412,669</b>	<b>412,669</b>	<b>587,033</b>

#### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve renewal and replacement of assets in support of the provision of Ara Poutama Aotearoa outputs. Ara Poutama Aotearoa has a capital programme of work that is focused on upgrading its assets, providing resilience to respond to changing demand and service needs, to a more humanising and healing environment, whilst ensuring sufficient capacity to accommodate the current and expected prison population.

#### *How Performance will be Assessed and End of Year Reporting Requirements*

	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
Assessment of Performance			
Number of new Community Corrections Sites and existing site refurbishments	6	4	3
Prison Capacity Programme - permanent beds added	1,238	384	488

#### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Ara Poutama Aotearoa in its Annual Report.

#### *Reasons for Change in Appropriation*

Ara Poutama Aotearoa has changed its capital expenditure profile to reflect investment in the Waikeria Mental Health and Addiction Service Facility and the modular build programme. In addition there has been a slight shift in focus as a result of the release of Hōkai Rangī, a new strategy for Ara Poutama

Aotearoa, and the Hōkai Nuku Business Case. Following Cabinet endorsement of the change programme outlined in the Business Case in December 2019, Ara Poutama Aotearoa is reviewing its capital plan to ensure alignment with the Hōkai Rangi strategic direction. This includes improving connections with whānau through more normalised environments.

### Department of Corrections

Details of Net Asset Schedule	2019/20 Estimated Actual \$000	2020/21 Projected \$000	Explanation of Projected Movements in 2020/21
Opening Balance	2,902,524	2,764,480	
Capital Injections	27,350	15,600	Mainly relates to capital injection for Wellington Accommodation Project and for new initiatives in 2020/21.
Capital Withdrawals	(80,000)	-	Capital withdrawal relates to surplus capital reserves as a result of Ara Poutama Aotearoa utilising private sector finance for the construction of the new maximum security prison at Auckland Prison.
Surplus to be Retained (Deficit Incurred)	(85,394)	5,779	The 2019/20 forecasted loss and the 2020/21 forecasted gain mainly relate to the un-realised year to date fair value movement on the Ara Poutama Aotearoa interest rate swap entered into with New Zealand Debt Management Office to manage the risk relating to public private partnership transactions.
Other Movements	-	-	
<b>Closing Balance</b>	<b>2,764,480</b>	<b>2,785,859</b>	

## Part 3 - Details of Non-Departmental Appropriations

### 3.4 - Non-Departmental Other Expenses

#### Waikeria Corrections and Treatment Facility (M18)

##### *Scope of Appropriation*

This appropriation is limited to infrastructure improvements that are either a condition of the designation or otherwise necessary to enable the development of the Waikeria Corrections and Treatment Facility.

##### *Expenses*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
Total Appropriation	37,782	37,782	33,518

##### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to achieve infrastructure improvements necessary to enable the development of the Waikeria Mental Health and Addiction Service Facility.

##### *How Performance will be Assessed and End of Year Reporting Requirements*

Continuation of infrastructure design improvements required to enable the development of the Waikeria Mental Health and Addiction Service Facility.

##### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by the Minister of Corrections in a report appended to the Ara Poutama Aotearoa annual report.

##### *Service Providers*

Provider	2019/20 Final Budgeted \$000	2019/20 Estimated Actual \$000	2020/21 Budget \$000	Expiry of Resourcing Commitment
Non-Government Organisations				
Consultants	719	719	697	June 2022
District Council	444	444	300	June 2022
Regional Council	4	4	103	June 2022
Roading	13,890	13,890	16,918	June 2022
Wastewater	17,501	17,501	14,725	June 2022
Electricity Upgrade	4,425	4,425	749	June 2022
Gas Upgrade	799	799	26	June 2022
Total	37,782	37,782	33,518	

*Reasons for Change in Appropriation*

The decrease in this appropriation for 2020/21 is due to associated costs for the wastewater treatment plant moving to future years following a re-assessment of the facility infrastructure, and delays to completion.



# Part 4 - Details of Multi-Category Expenses and Capital Expenditure

## 4 - Multi-Category Expenses and Capital Expenditure

### Policy Advice and Ministerial Services (M18)

#### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.

#### *Scope of Appropriation*

##### **Departmental Output Expenses**

###### *Ministerial Services*

This category is limited to Department responses to ministerial correspondence and parliamentary questions.

###### *Policy Advice*

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters.

#### *Expenses, Revenue and Capital Expenditure*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<b>Total Appropriation</b>	<b>5,067</b>	<b>5,067</b>	<b>4,861</b>
<b>Departmental Output Expenses</b>			
Ministerial Services	2,336	2,336	2,305
Policy Advice	2,731	2,731	2,556
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>4,861</b>	<b>4,861</b>	<b>4,861</b>
Ministerial Services	2,305	2,305	2,305
Policy Advice	2,556	2,556	2,556
<b>Revenue from Others</b>	<b>206</b>	<b>206</b>	<b>-</b>
Ministerial Services	31	31	-
Policy Advice	175	175	-

#### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to enable Ara Poutama Aotearoa to respond to ministerial requests and parliamentary questions with a high standard of service. It also allows Ara Poutama Aotearoa to provide policy advice to support decision-making by Ministers on government policy matters.

This Multi Category Appropriation provides core services to co-ordinate information provided to government and the public as well as developing policy that improves wellbeing and safety outcomes for New Zealand communities.

### *How Performance will be Assessed for this Appropriation*

Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
<b>Policy Advice and Ministerial Services</b>			
The office of the Minister of Corrections is satisfied with the level of quality of policy advice, and of draft Ministerial correspondence, provided by the Department (determined by an Annual Survey of satisfaction)	85%	85%	85%

### *What is Intended to be Achieved with each Category and How Performance will be Assessed*

Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
<b>Departmental Output Expenses</b>			
<b>Ministerial Services</b>			
This category is intended to ensure timely, accurate and relevant responses are provided to ministerial correspondence, parliamentary questions and requests for information made under the Official Information Act			
The percentage of ministerial draft correspondence that is signed without changes	Greater than 95%	Greater than 95%	Greater than 95%
The percentage of all responses to parliamentary questions that are completed within five working days	Greater than 98%	Greater than 98%	Greater than 98%
The percentage of responses to ministerial draft correspondence that are completed within 20 working days	Greater than 98%	Greater than 98%	Greater than 98%
<b>Policy Advice</b>			
This category is intended to provide meaningful advice and the development of robust policy that enhances service delivery and to contribute to the development of effective criminal justice legislation. This category also ensures that service activities intended to reduce re-offending are effectively evaluated and that information regarding those evaluations is available to inform decision-making			
Technical quality of policy advice papers assessed by a survey with a methodological robustness of 90%	At least an average of 70%	At least an average of 70%	At least an average of 70%
The office of the Minister of Corrections is satisfied with the quality of policy advice provided, including quality of the written material and quality of the advice provided (measured through an annual survey)	80%	80%	80%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Ara Poutama Aotearoa in its Annual Report.

## Public Safety is Improved (M18)

### *Overarching Purpose Statement*

The single overarching purpose of this appropriation is to manage offenders serving custodial and community-based sentences in a manner so as to improve the safety of offenders, staff, victims and the public.

### *Scope of Appropriation*

#### **Departmental Output Expenses**

##### *Information and Administrative Services to the Judiciary and New Zealand Parole Board*

This category is limited to the provision of information about offenders to victims of crime, the Judiciary and the New Zealand Parole Board and the provision of administrative, financial and secretariat services to the New Zealand Parole Board.

##### *Prison-based Custodial Services*

This category is limited to the provision of custodial services including under long-term service contracts and Public Private Partnerships for offenders lawfully required to be detained in custody. This includes remand prisoners (people awaiting trial and offenders convicted but not yet sentenced), those offenders sentenced to imprisonment, and any other offenders required to be lawfully detained in custody.

##### *Sentences and Orders Served in the Community*

This category is limited to the management and delivery of sentences and orders served in the community, and electronic monitoring of people on bail.

### *Expenses, Revenue and Capital Expenditure*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<b>Total Appropriation</b>	<b>1,446,563</b>	<b>1,446,563</b>	<b>1,478,922</b>
<b>Departmental Output Expenses</b>			
Information and Administrative Services to the Judiciary and New Zealand Parole Board	73,962	73,962	74,851
Prison-based Custodial Services	1,119,428	1,119,428	1,144,095
Sentences and Orders Served in the Community	253,173	253,173	259,976
<b>Funding for Departmental Output Expenses</b>			
<b>Revenue from the Crown</b>	<b>1,442,173</b>	<b>1,442,173</b>	<b>1,475,728</b>
Information and Administrative Services to the Judiciary and New Zealand Parole Board	73,962	73,962	74,851
Prison-based Custodial Services	1,115,053	1,115,053	1,140,901
Sentences and Orders Served in the Community	253,158	253,158	259,976
<b>Revenue from Others</b>	<b>4,390</b>	<b>4,390</b>	<b>3,194</b>
Prison-based Custodial Services	4,375	4,375	3,194
Sentences and Orders Served in the Community	15	15	-

### *Components of the Appropriation*

	2019/20		2020/21
	Final Budgeted \$000	Estimated Actual \$000	Budget \$000
<b>Information and Administrative Services to the Judiciary and New Zealand Parole Board</b>			
Information Services to the Judiciary	58,855	58,855	59,582
Information Services to the New Zealand Parole Board	8,074	8,074	8,236
Information and Administrative Services to Victims	298	298	298
Administrative Services to the New Zealand Parole Board	6,735	6,735	6,735
<b>Prison-based Custodial Services</b>			
Asset Management	383,784	383,784	383,784
Sentenced	417,302	417,302	430,665
Remand	188,835	188,835	199,615
Third Party	82,410	82,410	82,410
Health	47,097	47,097	47,621
<b>Sentences and Orders Served in the Community</b>			
Community Based Sentences	138,903	138,903	142,146
Post-Release Orders	64,376	64,376	65,202
Home Detention Sentences	41,439	41,439	43,584
Electronic Monitoring Bail	8,455	8,455	9,044
<b>Total</b>	<b>1,446,563</b>	<b>1,446,563</b>	<b>1,478,922</b>

### *What is Intended to be Achieved with this Appropriation*

This appropriation is intended to:

- enable Ara Poutama Aotearoa to manage people in custody in a way that enhances their wellbeing and supports them upon release to not return to the justice system, protects the public and maintains the safety of all involved
- achieve safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order, and
- provide core services to the Judiciary and New Zealand Parole Board to enable informed sentencing and parole decisions to be made in a timely manner including notification to people in our care and management, and victims regarding the outcomes of the judicial process, to enhance rehabilitative outcomes.

### *How Performance will be Assessed for this Appropriation*

The performance for this appropriation will be assessed by the following measures specified in each category below.

*What is Intended to be Achieved with each Category and How Performance will be Assessed*

Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
<b>Departmental Output Expenses</b>			
<b>Information and Administrative Services to the Judiciary and New Zealand Parole Board</b>			
This category is intended to provide core services to the Judiciary and New Zealand Parole Board to enable informed sentencing and parole decisions to be made in a timely manner including notification to people in our care and management, and victims regarding the outcomes of the judicial process, to enhance rehabilitative outcomes			
The percentage of all pre-sentence reports provided to court within agreed timeframes before sentencing	95%	95%	95%
The percentage of all parole reports provided to agreed timeframes pursuant to New Zealand Parole Board requirements	95%	95%	95%
The percentage of offenders who are notified as per New Zealand Parole Board requirements	98%	98%	98%
The percentage of victims who are notified as per New Zealand Parole Board requirements	98%	98%	98%
The percentage of all cases heard by the New Zealand Parole Board that are scheduled within the timeframes specified by the Parole Act 2002	98%	98%	98%
The number of justified complaints about notification services received from registered victims	Nil	2	Nil
<b>Prison-based Custodial Services</b>			
This category is intended to enable Ara Poutama Aotearoa to manage people in custody in a way that enhances their wellbeing and supports them upon release to not return to the justice system, protects the public and maintains the safety of all involved			
The number of breakout escapes	Nil	Nil	Nil
The number of non-breakout escapes	Less than or equal to 5	Less than or equal to 13	Less than or equal to 5
The proportion of general random drug tests undertaken by prisoners that have a positive result	Less than 5%	Less than 5%	Less than 5%
The number of unnatural deaths	Nil	6	Nil
The percentage of Death in Custody reports for unnatural deaths, that are completed by the Office of the Inspectorate within six months of the death occurring	Greater than 80%	Greater than 80%	Greater than 80%
The rate of prisoner on prisoner assaults that are serious, per 100 prisoners	Less than or equal to 0.50	Less than or equal to 0.50	Less than or equal to 0.50
The rate of prisoner on staff assaults that are serious, per 100 prisoners	Less than or equal to 0.20	Less than or equal to 0.25	Less than or equal to 0.20
The proportion of all complaints received to the Office of the Inspectorate that are acknowledged within 10 working days of receipt	100%	100%	100%
The proportion of all complaint investigations completed by the Office of the Inspectorate within three months of being received	Greater than 80%	Greater than 80%	Greater than 80%
The percentage of Health Centres in prisons that retained their Cornerstone accreditation status following completion of an annual review	100%	94%	100%

Assessment of Performance	2019/20		2020/21
	Final Budgeted Standard	Estimated Actual	Budget Standard
<b>Sentences and Orders Served in the Community</b>			
This category is intended to achieve safe management of sentences served in the community and the ability for offenders to be held to account for successfully completing their sentence or order			
The proportion of community-based offenders who successfully complete their sentence or order	Greater than 75%	Greater than 75%	Greater than 75%
The proportion of community-based offenders being held to account by Probation staff for non-compliance	90%	90%	90%

### *End of Year Performance Reporting*

Performance information for this appropriation will be reported by Ara Poutama Aotearoa in its Annual Report.

### *Current and Past Policy Initiatives*

Policy Initiative	Year of First Impact	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
<b>Current Government</b>						
Maintaining a Safe Prison Network	2020/21	-	21,551	22,454	22,454	22,454
Maintaining Safe Community Services	2020/21	-	3,380	4,380	4,380	4,380
Infrastructure Resilience	2019/20	1,440	41,640	7,440	7,440	7,440
Hōkai Rangī - Pre-Trial Service - providing early support to lower number of people entering (or remaining in) custody	2020/21	-	5,390	7,910	9,380	10,570
High Impact Innovation Programme - continued innovation across the Justice Sector	2020/21	-	3,710	-	-	-
Wellington Accommodation Project (WAP2) Reconfiguration Programme	2019/20	3,168	4,592	1,443	1,443	1,443
Responding to March Mosque Attack	2019/20	2,718	1,661	-	-	-
Restoring a Safe and Effective Prison Network	2019/20	27,300	28,500	28,500	28,500	28,500
Offenders in the Community: Retaining Safe and Effective Community-Based Sentences and Orders	2019/20	19,560	19,460	19,460	19,460	19,460
Ensuring the Sustainability of the District Court by Appointing Additional District Court Judges	2019/20	335	335	335	335	335
Improving the Justice Response to Sexual Violence Victims	2021/22	-	-	424	643	864
Reprioritisation of Funding - Targeted Suspension of Suboptimal Prison Capacity	2019/20	(6,300)	(17,600)	(22,700)	(22,700)	(22,700)
Residential AOD for Female Defendants and Parolees	2018/19	103	-	-	-	-
Speech Language and Communication Needs	2018/19	13	-	-	-	-
Waikeria Corrections and Treatment Facility - Approval to Execute the Project Agreement	2018/19	7,000	7,000	24,800	86,700	85,900
Prisoner Volumes - Responding to Growth	2018/19	63,314	61,870	58,015	58,015	58,015
Offenders In The Community - Maintaining Public Safety	2018/19	25,867	29,085	33,081	33,081	33,081

Policy Initiative	Year of First Impact	2019/20 Final Budgeted \$000	2020/21 Budget \$000	2021/22 Estimated \$000	2022/23 Estimated \$000	2023/24 Estimated \$000
<b>Previous Government</b>						
Reform of Family Violence Law	2018/19	21,146	27,603	33,111	34,332	34,332
Sentences and Orders Served in the Community - Increased Investment	2017/18	13,016	14,562	14,562	14,562	14,562
Safe, Confident and Resilient Communities: Investment in Policing	2017/18	16,580	27,300	27,300	27,300	27,300
Prisoners at Risk of Self-harm and Suicide - Pilot	2017/18	850	850	850	850	850
Prisoner Volumes	2016/17	71,300	53,443	53,443	53,443	53,443
Corrections Financial Stability Post 2015/16	2016/17	52,825	52,825	52,825	52,825	52,825
Prison Capacity Build Programme - Phase One: Immediate Capacity Responses	2016/17	18,100	18,100	18,100	18,100	18,100
Mt Eden Corrections Facility Management Options	2016/17	5,000	5,000	5,000	5,000	5,000

### *Reasons for Change in Appropriation*

The increase in this appropriation for 2020/21 is due to the core costs of maintaining a safe and effective prison network and management of community-based sentences and orders. Hōkai Rangī describes a strategic direction that puts safety and wellbeing at the foundation of all these activities for communities, victims, our staff, and people serving sentences and orders.