

Vote Transport

APPROPRIATION MINISTER(S): Minister of Transport (M72)

APPROPRIATION ADMINISTRATOR: Ministry of Transport

RESPONSIBLE MINISTER FOR MINISTRY OF TRANSPORT: Minister of Transport

Details of Appropriations and Capital Injections

Annual Appropriations and Forecast Permanent Appropriations

Titles and Scopes of Appropriations by Appropriation Type	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Departmental Output Expenses			
Fuel Excise Duty Refund Administration (M72) This appropriation is limited to the receipt and processing of applications for, and the refunding of, Fuel Excise Duty.	738	-	738
Milford Sound / Piopiotahi Aerodrome Operation and Administration (M72) This appropriation is limited to the operation of Milford Sound/Piopiotahi aerodrome to provide a safe and efficient airport.	325	163	488
Search and Rescue Activity Coordination PLA (M72) The estimated amount to be spent on the coordination of search and rescue activities as authorised by section 9(1) of Land Transport Management Act 2003.	2,240	61	2,301
Search and Rescue Training and Training Coordination (M72) This appropriation is limited to search and rescue training and training coordination.	1,300	-	1,300
Total Departmental Output Expenses	4,603	224	4,827
Departmental Capital Expenditure			
Ministry of Transport - Capital Expenditure PLA (M72) This appropriation is limited to the purchase or development of assets by and for the use of the Ministry of Transport, as authorised by section 24(1) of the Public Finance Act 1989.	270	-	270
Total Departmental Capital Expenditure	270	-	270
Non-Departmental Output Expenses			
Accident or Incident Investigation and Reporting (M72) This appropriation is limited to inquiries, investigations and activities in accordance with the Transport Accident Investigation Commission Act 1990.	5,520	-	5,520
Administration of the Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme (M72) This appropriation is limited to expenses incurred in the administration of the Automatic Dependent Surveillance-Broadcast transponders rebate scheme.	-	350	350
Crash Analysis (M72) This appropriation is limited to operating the Crash Analysis System and performing associated crash analysis and research activities.	775	-	775
Enhanced Road Maintenance - State Highways (M72) This appropriation is limited to hazardous tree removal and enhanced road maintenance on state highways.	-	1,500	1,500
Health and Safety at Work Activities - Civil Aviation (M72) This appropriation is limited to health and safety activities for the civil aviation sector, for which the Civil Aviation Authority has designated responsibility.	1,174	-	1,174
Health and Safety at Work Activities - Maritime (M72) This appropriation is limited to health and safety activities for the maritime sector, for which Maritime New Zealand has designated responsibility.	6,191	47	6,238

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
Licensing Activities (M72) This appropriation is limited to land transport licensing services, including driver licensing, not met by user fees.	2,550	-	2,550
Maritime Port Security (M72) This appropriation is limited to standby screening and searching services at ports.	145	-	145
Ministerial Servicing by the New Zealand Transport Agency (M72) This appropriation is limited to Ministerial servicing by the New Zealand Transport Agency.	548	-	548
National Land Transport Programme PLA (M72) The estimated amount to be spent on activities under the National Land Transport Programme, as authorised by section 9 (3) and (4) of the Land Transport Management Act 2003.	2,782,120	(26,601)	2,755,519
Rail - Maintaining an Electric Locomotive Fleet (M72) This appropriation is limited to maintaining the operation of the existing electric locomotive fleet.	13,000	(4,600)	8,400
Road User Charges Investigation and Enforcement (M72) This appropriation is limited to investigating evasion and enforcing of Road User Charges.	3,779	-	3,779
Road User Charges Refunds (M72) This appropriation is limited to the processing of Road User Charge refunds.	450	-	450
Search and Rescue Activities (M72) This appropriation is limited to the purchase of search and rescue activities and a search and rescue coordination service, including follow-up inquiries and reporting, associated with the searches and rescues undertaken.	3,231	-	3,231
Search and Rescue and Recreational Boating Safety Activities PLA (M72) The estimated amount to be spent in relation to search and rescue and recreational boating safety activities, as authorised under Section 9 (1) of the Land Transport Management Act 2003.	11,632	820	12,452
SuperGold Card - Administration of the Public Transport Concessions Scheme (M72) This appropriation is limited to the administration costs of the scheme to provide enhanced public transport concessions for SuperGold cardholders.	95	-	95
Weather Forecasts and Warnings (M72) This appropriation is limited to severe-weather warnings and a level of weather forecast services for land, coastal waters and oceanic areas for which New Zealand has international responsibility.	24,565	-	24,565
Total Non-Departmental Output Expenses	2,855,775	(28,484)	2,827,291
Non-Departmental Other Expenses			
Auckland City Rail Link - Operating (M72) This appropriation is limited to the operating expenses incurred by the Crown for the Auckland City Rail Link project.	-	150	150
Bad Debt Provision - Motor Vehicle Registration/Licences and Road User Charges (M72) This appropriation is limited to provision for bad debts that arise from non-payment of motor vehicle registrations and road user charges.	4,000	3,620	7,620
Membership of International Organisations (M72) This appropriation is limited to non-discretionary payments to international transport related organisations.	743	120	863
Rail - Public Policy Projects (M72) This appropriation is limited to public policy rail initiatives.	3,270	-	3,270
Rail - Railway Safety (M72) This appropriation is limited to public safety works.	500	-	500

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
SuperGold Card - public transport concessions for cardholders (M72) This appropriation is limited to providing enhanced public transport concessions for SuperGold cardholders.	29,579	993	30,572
Urban Cycleways - Local Routes (M72) This appropriation is limited to expenses incurred on the investigation, design and construction of urban cycleways that will become the responsibility of local authorities.	1,783	4,295	6,078
Waka Kotahi NZ Transport Agency Palmerston North Premises (M72) This appropriation is limited to expense by Waka Kotahi NZ Transport Agency on their Palmerston North premises.	-	1,500	1,500
Total Non-Departmental Other Expenses	39,875	10,678	50,553
Non-Departmental Capital Expenditure			
Auckland City Rail Link (M72) This appropriation is limited to the Crown's share of the total project costs of the Auckland City Rail Link.	468,407	(193,306)	275,101
Aviation Security Service Loans (M72) This appropriation is limited to providing loans to the Civil Aviation Authority for the purpose of investing in Aviation Security Service security screening equipment.	41,000	(18,000)	23,000
Capital Investment Package - Roads, Walking and Cycling (M72) This appropriation is limited to investment in specified roading, walking, and cycling projects that support the announced objectives of the Capital Investment Package.	-	34,460	34,460
Maritime New Zealand Capital Expenditure PLA (M72) The estimated amount to be spent in relation to Maritime New Zealand costs, as authorised by Section 9 (1) of the Land Transport Management Act 2003.	450	316	766
National Land Transport Programme Capital PLA (M72) The estimated amount to be spent on capital works under the National Land Transport Programme, as authorised by section 9 (3) and (4) of the Land Transport Management Act 2003.	960,480	(14,147)	946,333
NLTF Borrowing Facility for Short-Term Advances (M72) This appropriation is limited to short-term advances to the New Zealand Transport Agency to manage variations between cash outlays from and flows of hypothecated revenue into the National Land Transport Fund, with the maximum amount of such advances at any one time not exceeding \$250 million.	500,000	-	500,000
Rail - KiwiRail Equity Injection (M72) This appropriation is limited to equity injections to KiwiRail Holdings Limited offset by property transactions in New Zealand Railways Corporation.	15,000	(2,000)	13,000
Rail - KiwiRail Holdings Limited (M72) This appropriation is limited to a capital injection to KiwiRail Holdings Limited to finance approved capital expenditure on the New Zealand rail system.	473,000	8,040	481,040
Rail - KiwiRail Holdings Limited Loans (M72) This appropriation is limited to loans to KiwiRail Holdings Limited to fund capital projects and provide working capital.	174,250	-	174,250
Rail - Wellington Metro Rail Network Upgrade (M72) The appropriation is limited to catch up investment in the Wellington metro rail network which will enable sustainable operation of the network through the Metro Rail Operating model.	44,056	(595)	43,461
Regional State Highways (M72) This appropriation is limited to the investigation, design and construction of regional State highways.	46,256	(3,066)	43,190

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
Urban Cycleways - Crown Assets (M72) This appropriation is limited to capital expenditure on the investigation, design and construction of urban cycleways that will become Crown assets.	1,334	2,828	4,162
Waka Kotahi NZ Transport Agency Capital Contribution for Palmerston North Premises (M72) This appropriation is limited to a capital contribution to Waka Kotahi NZ Transport Agency for Palmerston North premises expenditure.	-	4,800	4,800
Total Non-Departmental Capital Expenditure	2,724,233	(180,670)	2,543,563
Multi-Category Expenses and Capital Expenditure			
Policy Advice and Related Outputs MCA (M72) The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.	44,138	11,468	55,606
<i>Departmental Output Expenses</i>			
<i>Governance and Performance Advice on Crown Agencies</i> This category is limited to monitoring of and advice on the governance, performance and capability of transport Crown agencies.	3,000	99	3,099
<i>Ministerial Servicing</i> This category is limited to services to Ministers to enable them to discharge their portfolio (other than policy decision-making) responsibilities.	2,000	-	2,000
<i>Policy Advice</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters relating to transport.	39,138	11,369	50,507
Policy Advice and Related Outputs - Civil Aviation MCA (M72) The single overarching purpose of this appropriation is to regulate civil aviation and enhance aviation safety in New Zealand.	2,549	700	3,249
<i>Non-Departmental Output Expenses</i>			
<i>International Relations and International Civil Aviation Organization Obligations</i> This category is limited to technical information and advice in relation to international matters affecting New Zealand aviation, to ensure the Minister's obligations in relation to international civil aviation agreements are met, and to promote the development of New Zealand aviation in the international context.	685	-	685
<i>Ministerial Servicing - Civil Aviation</i> This category is limited to services to Ministers to enable them to discharge their portfolio (other than policy decision-making) responsibilities.	431	-	431
<i>Policy Advice - Civil Aviation</i> This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters relating to civil aviation.	663	-	663
<i>Non-Departmental Other Expenses</i>			
<i>Improving Safety in the Aviation Sector</i> This category is limited to the investigation, determining compliance, and enforcement of safety in the aviation sector.	770	700	1,470

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Titles and Scopes of Appropriations by Appropriation Type			
Policy Advice and Related Outputs - Maritime MCA (M72)	6,191	-	6,191
The single overarching purpose of this appropriation is to regulate and enhance safety in New Zealand's maritime environment.			
Non-Departmental Output Expenses			
<i>Maritime Incident Response</i>	764	-	764
This category is limited to building capability to respond to complex maritime pollution incidents.			
<i>Maritime Safety and Marine Protection Services</i>	2,078	-	2,078
This category is limited to the development and delivery of regulatory services which are the responsibility of Maritime New Zealand under legislation			
<i>Policy Advice - Maritime</i>	3,349	-	3,349
This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision making by ministers on government policy matters and Ministerial servicing.			
Reinstatement of the South Island Transport Corridors MCA (M72)	29,300	18,700	48,000
The single overarching purpose of this appropriation is to deliver the outcome of reinstated transport corridors between Picton and Christchurch.			
Non-Departmental Output Expenses			
<i>Restoration of State Highway 1 between Picton and Christchurch</i>	8,790	5,610	14,400
This category is limited to the restoration of State Highway 1 between Picton and Christchurch.			
Non-Departmental Capital Expenditure			
<i>Rebuild of State Highway 1 between Picton and Christchurch</i>	20,510	13,090	33,600
This category is limited to the rebuild and improvement of State Highway 1 between Picton and Christchurch.			
Tuawhenua Provincial Growth Fund - Transport Projects MCA (M72)	42,918	206,710	249,628
The single overarching purpose of this appropriation is to achieve the outcome of a lift in the productivity potential in the regions through transport-related projects and studies that enable regions to be well connected from an economic and social perspective.			
Non-Departmental Output Expenses			
<i>Supporting Regional and Infrastructure Projects</i>	-	3,995	3,995
This category is limited to supporting transport-related regional economic development initiatives.			
Non-Departmental Other Expenses			
<i>Enabling Infrastructure Projects</i>	8,100	115,370	123,470
This category is limited to expenses incurred on local transport-related infrastructure projects that contribute to the outcome of a lift in the productivity potential in the regions.			
<i>Regional Projects and Capability</i>	1,000	3,865	4,865
This category is limited to supporting regional development through transport-related projects, capability building, and feasibility studies for potential transport-related projects.			
Non-Departmental Capital Expenditure			
<i>Infrastructure Projects</i>	218	19,080	19,298
This category is limited to capital expenditure for transport-related infrastructure projects that contribute to the outcome of a lift in the productivity potential in the regions.			
<i>Rail Projects</i>	33,600	64,400	98,000
This category is limited to a capital injection to KiwiRail Holdings Limited to finance approved rail-related projects that contribute to the outcome of a lift in the productivity potential in the regions.			
Total Multi-Category Expenses and Capital Expenditure	125,096	237,578	362,674
Total Annual Appropriations and Forecast Permanent Appropriations	5,749,852	39,326	5,789,178

Multi-Year Appropriations

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Non-Departmental Output Expenses		
Protection of Transport Sector Agency Core Functions (M72) This appropriation is limited to purchase of core services from the Civil Aviation Authority (including Avsec) and Maritime New Zealand that are no longer able to be cost-recovered from third parties as a result of COVID-19. Commences: 01 April 2020 Expires: 30 June 2022	Original Appropriation	236,600
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	236,600
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	35,200
	Estimate for 2020/21	201,400
	Estimated Appropriation Remaining	-
Non-Departmental Other Expenses		
Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme (M72) This appropriation is limited to rebates for the Automatic Dependent Surveillance-Broadcast (ADS-B) transponders rebate scheme to aircraft operators required to fit such equipment. Commences: 01 August 2019 Expires: 30 June 2022	Original Appropriation	11,750
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	11,750
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	3,900
	Estimate for 2020/21	3,925
	Estimated Appropriation Remaining	3,925
Housing Infrastructure Fund - Fair Value Write Down (M72) This appropriation is limited to the expense incurred in the fair-value write down of interest-free loans from the Housing Infrastructure Fund to the New Zealand Transport Agency. Commences: 01 July 2018 Expires: 30 June 2021	Original Appropriation	122,230
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	122,230
	Actual to 2018/19 Year End	99,811
	Estimated Actual for 2019/20	-
	Estimate for 2020/21	22,419
	Estimated Appropriation Remaining	-
Maintaining Airfreight Capacity (M72) This appropriation is limited to expenses incurred in supporting airlines and other aviation carriers to maintain air freight capacity. Commences: 24 March 2020 Expires: 30 June 2021	Original Appropriation	330,000
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	330,000
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	200,000
	Estimate for 2020/21	130,000
	Estimated Appropriation Remaining	-

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Non-Departmental Capital Expenditure		
Housing Infrastructure Fund Loans (M72)	Original Appropriation	357,000
This appropriation is limited to interest-free loans from the Housing Infrastructure Fund of a duration of ten years or under to New Zealand Transport Agency to finance the transport infrastructure needed to unlock residential development.	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	357,000
	Actual to 2018/19 Year End	3,000
Commences: 01 July 2018	Estimated Actual for 2019/20	30,000
Expires: 30 June 2023	Estimate for 2020/21	20,000
	Estimated Appropriation Remaining	304,000
	Original Appropriation	2,500
	Adjustments to 2018/19	-
Joint Venture Airports - Crown Contribution (M72)	Adjustments for 2019/20	7,420
	Adjusted Appropriation	9,920
	Actual to 2018/19 Year End	1,019
	Estimated Actual for 2019/20	2,133
Commences: 01 July 2018	Estimate for 2020/21	4,604
Expires: 30 June 2023	Estimated Appropriation Remaining	2,164
	Original Appropriation	45,000
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
Rail - New Zealand Railways Corporation Equity Injection (M72)	Adjusted Appropriation	45,000
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	30,000
	Estimate for 2020/21	15,000
Commences: 01 April 2020	Estimated Appropriation Remaining	-
Expires: 30 June 2024	Original Appropriation	35,500
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	35,500
Commences: 01 March 2020	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	25,390
	Estimate for 2020/21	10,110
	Estimated Appropriation Remaining	-
Expires: 30 June 2024	Original Appropriation	35,500
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	35,500
Commences: 01 March 2020	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	25,390
	Estimate for 2020/21	10,110
	Estimated Appropriation Remaining	-
Expires: 30 June 2024	Original Appropriation	35,500
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	35,500
Commences: 01 March 2020	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	25,390
	Estimate for 2020/21	10,110
	Estimated Appropriation Remaining	-

Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Total Annual Appropriations and Forecast Permanent Appropriations	5,749,852	39,326	5,789,178
Total Forecast MYA Non-Departmental Output Expenses	-	35,200	35,200
Total Forecast MYA Non-Departmental Other Expenses	-	203,900	203,900
Total Forecast MYA Non-Departmental Capital Expenditure	42,036	45,487	87,523
Total Annual Appropriations and Forecast Permanent Appropriations and Multi-Year Appropriations	5,791,888	323,913	6,115,801

Capital Injection Authorisations

	2019/20		
	Estimates Budget \$000	Supplementary Estimates Budget \$000	Total Budget \$000
Ministry of Transport - Capital Injection (M72)	-	3,080	3,080

Supporting Information

Part 1 - Vote as a Whole

1.2 - Trends in the Vote

Summary of Financial Activity

	2019/20				
	Estimates \$000	Supplementary Estimates			Total \$000
		Departmental Transactions \$000	Non- Departmental Transactions \$000	Total Transactions \$000	
Appropriations					
Output Expenses	2,860,378	224	6,716	6,940	2,867,318
Benefits or Related Expenses	-	N/A	-	-	-
Borrowing Expenses	-	-	-	-	-
Other Expenses	39,875	-	214,578	214,578	254,453
Capital Expenditure	2,766,539	-	(135,183)	(135,183)	2,631,356
Intelligence and Security Department Expenses and Capital Expenditure	-	-	N/A	-	-
Multi-Category Expenses and Capital Expenditure (MCA)					
<i>Output Expenses</i>	60,898	11,468	9,605	21,073	81,971
<i>Other Expenses</i>	9,870	-	119,935	119,935	129,805
<i>Capital Expenditure</i>	54,328	N/A	96,570	96,570	150,898
Total Appropriations	5,791,888	11,692	312,221	323,913	6,115,801
Crown Revenue and Capital Receipts					
Tax Revenue	1,964,026	N/A	(173,506)	(173,506)	1,790,520
Non-Tax Revenue	10,060	N/A	-	-	10,060
Capital Receipts	15,000	N/A	(2,000)	(2,000)	13,000
Total Crown Revenue and Capital Receipts	1,989,086	N/A	(175,506)	(175,506)	1,813,580

Part 2 - Details of Departmental Appropriations

2.1 - Departmental Output Expenses

Milford Sound / Piopiotahi Aerodrome Operation and Administration (M72)

Scope of Appropriation

This appropriation is limited to the operation of Milford Sound/Piopiotahi aerodrome to provide a safe and efficient airport.

Expenses and Revenue

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	325	163	488
Revenue from the Crown	-	100	100
Revenue from Others	325	63	388

Reasons for Change in Appropriation

This appropriation increased by \$163,000 to \$488,000 for 2019/20 due to:

- \$100,000 for accelerated depreciation as the runway, apron and taxiway at Milford Aerodrome is expected to be replaced in December 2020, and
- \$63,000 for higher revenue from increased volume of landings, and associated costs.

Search and Rescue Activity Coordination PLA (M72)

Scope of Appropriation

The estimated amount to be spent on the coordination of search and rescue activities as authorised by section 9(1) of Land Transport Management Act 2003.

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Survey results show that the New Zealand search and rescue sector is satisfied with the delivery of effective leadership and strategic coordination	95% or higher	No longer a measure	No longer a measure

Reasons for Change in Appropriation

This appropriation increased by \$61,000 to \$2.301 million for 2019/20 due to a carry forward from 2018/19 to 2019/20 relating to delays in implementing a new IT system, and postponement of some search and rescue exercises.

2.3 - Departmental Capital Expenditure and Capital Injections

Ministry of Transport - Capital Expenditure PLA (M72)

Scope of Appropriation

This appropriation is limited to the purchase or development of assets by and for the use of the Ministry of Transport, as authorised by section 24(1) of the Public Finance Act 1989.

Capital Injections and Movements in Departmental Net Assets

Ministry of Transport

Details of Net Asset Schedule	2019/20 Main Estimates Projections \$000	2019/20 Supplementary Estimates Projections \$000	Explanation of Projected Movements in 2019/20
Opening Balance	2,810	2,810	Supplementary Estimates opening balance reflects the audited results as at 30 June 2019.
Capital Injections	-	3,080	Equity injection for the replacement of Milford Aerodrome runway.
Capital Withdrawals	-	-	
Surplus to be Retained (Deficit Incurred)	-	-	
Other Movements	-	-	
Closing Balance	2,810	5,890	

Part 3 - Details of Non-Departmental Appropriations

3.1 - Non-Departmental Output Expenses

Administration of the Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme (M72)

Scope of Appropriation

This appropriation is limited to expenses incurred in the administration of the Automatic Dependent Surveillance-Broadcast transponders rebate scheme.

Expenses

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	350	350

What is Intended to be Achieved with this Appropriation

This appropriation is intended to enable the administration of the Automatic Dependent Surveillance-Broadcast transponders rebate scheme.

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Applications and claims to the ADS-B transponders rebate scheme that meet eligibility criteria are administered within required standards	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Civil Aviation Authority in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$350,000 for 2019/20 to provide for the administration of the Automatic Dependent Surveillance-Broadcast transponders rebate scheme.

Crash Analysis (M72)

Scope of Appropriation

This appropriation is limited to operating the Crash Analysis System and performing associated crash analysis and research activities.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Average number of days taken to enter fatal crash reports into the Crash Analysis System	New Measure	10 working days	10 working days
Average number of days taken to enter fatal crash reports (from date of receipt) into the Crash Analysis System	10 days	No longer a measure	No longer a measure

Enhanced Road Maintenance - State Highways (M72)

Scope of Appropriation

This appropriation is limited to hazardous tree removal and enhanced road maintenance on state highways.

Expenses

Assessment of Performance	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,500	1,500

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve enhanced road maintenance by redeployed forestry workers affected by the economic impact of Covid-19.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Number of forestry workers employed to complete hazardous tree removal and enhanced road maintenance on state highways under the Tairawhiti redeployment package	New Measure	8 forestry workers or greater	8 forestry workers or greater

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$1.500 million for 2019/20 due to funding provided for the redeployment of forestry workers affected by the economic impacts of Covid-19.

Health and Safety at Work Activities - Maritime (M72)

Scope of Appropriation

This appropriation is limited to health and safety activities for the maritime sector, for which Maritime New Zealand has designated responsibility.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
All of the HSW assessments that are required are conducted	Achieved	No longer a measure	No longer a measure
The number of proactive, targeted HSWA inspection campaigns delivered	2	3	3

Reasons for Change in Appropriation

This appropriation increased by \$47,000 to \$6.238 million for 2019/20 due to a carry forward from 2018/19 to 2019/20 relating to delays in an education campaign.

Licensing Activities (M72)

Scope of Appropriation

This appropriation is limited to land transport licensing services, including driver licensing, not met by user fees.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Number of older driver licences subsidised	Baseline to be set	39,000-41,000	39,000-41,000

National Land Transport Programme PLA (M72)

Scope of Appropriation

The estimated amount to be spent on activities under the National Land Transport Programme, as authorised by section 9 (3) and (4) of the Land Transport Management Act 2003.

Components of the Appropriation

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Made up of expenditure on the following activities			
Local Road Maintenance	666,000	24,180	690,180
State Highway Improvements	549,000	(23,000)	526,000
Public Transport	510,000	76,207	586,207
State Highway Maintenance	399,760	48,044	447,804
Local Road Improvements	272,000	(28,041)	243,959
Transitional Rail	157,000	(112,200)	44,800
Investment Management	70,500	17,050	87,550
Walking and Cycling	54,500	(15,633)	38,867
Road Safety Promotion	54,360	3,927	58,287
Regional Improvements	49,000	(17,135)	31,865
Total Funding	2,782,120	(26,601)	2,755,519

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
State Highway Improvements			
Proportion of state highway network modified to align with safe and appropriate speed	See Note 1	0.6% or greater	0.6% or greater
Proportion of state highway improvement activities delivered to agreed standards and timeframes	New Measure	90% or greater	90% or greater
Public Transport			
Number of boardings on urban public transport services (bus, train, ferry) per capita	Baseline setting	34 boardings or greater per capita	34 boardings or greater per capita
Regional Improvements			
Proportion of regional improvement activities delivered to agreed standards and timeframes	New Measure	90% or greater	90% or greater
Transitional Rail			
Proportion of transitional rail projects approved for implementation	New Measure	Increasing	Increasing

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Local Road Maintenance			
Percentage of the sealed local road network that meets surface condition targets Surface condition index of the sealed network	98% or greater	No longer a measure	No longer a measure
Percentage of the sealed local road network that meets pavement integrity targets Pavement integrity index of the sealed network	94% or greater	No longer a measure	No longer a measure
Surface condition index of the sealed network	New Measure	98 or greater	98 or greater
Pavement integrity index of the sealed network	New Measure	94 or greater	94 or greater
Local Road Improvements			
Proportion of the local road network modified to align with safe and appropriate speed	See Note 1	Not available	Not available

Note 1 - The target will be based on 2018/19 Statement of performance expectations year-end results.

Reasons for Change in Appropriation

This appropriation decreased by \$26.601 million to \$2,755.519 million for 2019/20 due to changes in how Waka Kotahi NZ Transport Agency proposes to spend National Land Transport funding, with consequent changes to the split between operating and capital expenditure, and the spread of expenditure across years.

Protection of Transport Sector Agency Core Functions (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Protection of Transport Sector Agency Core Functions (M72)	Original Appropriation	236,600
This appropriation is limited to purchase of core services from the Civil Aviation Authority (including Avsec) and Maritime New Zealand that are no longer able to be cost-recovered from third parties as a result of COVID-19.	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
Commences: 01 April 2020	Adjusted Appropriation	236,600
Expires: 30 June 2022	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	35,200
	Estimate for 2020/21	201,400
	Estimated Appropriation Remaining	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the protection of core services provided by transport border agencies whose third party revenue base has been significantly impacted as a result of COVID-19.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Maintain appropriate capability for core functions - appropriate resourcing to meet service level requirements	New Measure	Achieved	Achieved
Mitigate costs to the Crown - delivery of a plan to minimise the fiscal costs to the Crown by 30 June 2020	New Measure	Achieved	Achieved

End of Year Performance Reporting

Performance information for this appropriation will be reported by Civil Aviation Authority, Aviation Security Service, and Maritime New Zealand in their annual reports.

Service Providers

Provider	2019/20			Expiry of Resourcing Commitment
	Estimates \$000	Supplementary Estimates \$000	Total \$000	
Aviation Security Service	-	21,600	21,600	-
Civil Aviation Authority	-	7,400	7,400	-
Maritime New Zealand	-	6,200	6,200	-
Total	-	35,200	35,200	

Reasons for Change in Appropriation

This is a new appropriation of \$236.600 million to provide protection of core services for transport border agencies whose third-party revenue has been impacted by COVID-19.

Rail - Maintaining an Electric Locomotive Fleet (M72)

Scope of Appropriation

This appropriation is limited to maintaining the operation of the existing electric locomotive fleet.

Reasons for Change in Appropriation

This appropriation decreased by \$4.600 million to \$8.400 million for 2019/20 due to an expense transfer to 2020/21 and outyears to align with KiwiRail's forecast expenditure for this initiative.

Road User Charges Investigation and Enforcement (M72)

Scope of Appropriation

This appropriation is limited to investigating evasion and enforcing of Road User Charges.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Proportion of Road User Charges operators that are investigated or audited against target	Baseline setting	100 %	100 %

Search and Rescue and Recreational Boating Safety Activities PLA (M72)

Scope of Appropriation

The estimated amount to be spent in relation to search and rescue and recreational boating safety activities, as authorised under Section 9 (1) of the Land Transport Management Act 2003.

Service Providers

Provider	2019/20			Expiry of Resourcing Commitment
	Estimates \$000	Supplementary Estimates \$000	Total \$000	
Crown Entities				
Maritime New Zealand	7,702	820	8,522	-
Non-Government Organisations				
Coastguard New Zealand	2,440	-	2,440	-
New Zealand Land Search & Rescue Inc.	1,075	-	1,075	-
Surf Life Saving New Zealand	200	-	200	-
Mountain Safety Council	150	-	150	-
Amateur Radio Emergency Communications	65	-	65	-
Total	11,632	820	12,452	

Reasons for Change in Appropriation

This appropriation increased by \$820,000 to \$12.452 million for 2019/20 due to additional anticipated search and rescue costs.

3.4 - Non-Departmental Other Expenses

Auckland City Rail Link - Operating (M72)

Scope of Appropriation

This appropriation is limited to the operating expenses incurred by the Crown for the Auckland City Rail Link project.

Expenses

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	150	150

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve successful operational readiness for KiwiRail when the City Rail Link is opened.

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Work is carried out as per the agreed programme	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by KiwiRail in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$150,000 for 2019/20 to fund KiwiRail's operational readiness costs for the Auckland City Rail Link project.

Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme (M72) This appropriation is limited to rebates for the Automatic Dependent Surveillance-Broadcast (ADS-B) transponders rebate scheme to aircraft operators required to fit such equipment.	Original Appropriation	11,750
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	11,750
Commences: 01 August 2019	Actual to 2018/19 Year End	-
Expires: 30 June 2022	Estimated Actual for 2019/20	3,900
	Estimate for 2020/21	3,925
	Estimated Appropriation Remaining	3,925

What is Intended to be Achieved with this Appropriation

This appropriation is intended to provide rebates to aircraft operators who are required to fit automatic Dependent Surveillance-Broadcast transponders.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Applications and claims to the ADS-B transponders rebate scheme that meet eligibility criteria are administered within required standards	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Civil Aviation Authority in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$11.750 million to provide for an Automatic Dependent Surveillance-Broadcast Transponders Rebate Scheme.

Bad Debt Provision - Motor Vehicle Registration/Licences and Road User Charges (M72)

Scope of Appropriation

This appropriation is limited to provision for bad debts that arise from non-payment of motor vehicle registrations and road user charges.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Proportion of bad debt for road user charges against forecast revenue	New Measure	0.47% or less	0.47% or less
Proportion of bad debt for motor vehicle registrations and road user charges against forecast revenue	0.47% or less	No longer a measure	No longer a measure

Reasons for Change in Appropriation

This appropriation increased by \$3.620 million to \$7.620 million for 2019/20 due to Waka Kotahi NZ Transport Agency processing higher value bad debt write-offs due to higher motor vehicle registration and road user charges revenue.

Maintaining Airfreight Capacity (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$'000
Maintaining Airfreight Capacity (M72) This appropriation is limited to expenses incurred in supporting airlines and other aviation carriers to maintain air freight capacity. Commences: 24 March 2020 Expires: 30 June 2021	Original Appropriation	330,000
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	330,000
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	200,000
	Estimate for 2020/21	130,000
	Estimated Appropriation Remaining	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the maintenance of airfreight capacity which has been impacted by COVID-19.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Increase, post the introduction of the International Air Freight Capacity scheme in April 2020, in the average rate (\$) for international freight movement across key routes serviced by the airlines participating	New measure	200% or lower	200% or lower

End of Year Performance Reporting

Performance information for this appropriation will be reported by the Minister of Transport in a report appended to the Ministry of Transport's annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$330 million to maintain airfreight capacity which has been impacted by COVID-19.

Membership of International Organisations (M72)

Scope of Appropriation

This appropriation is limited to non-discretionary payments to international transport related organisations.

Service Providers

Provider	2019/20			Expiry of Resourcing Commitment
	Estimates \$000	Supplementary Estimates \$000	Total \$000	
International Maritime Organisation	80	(6)	74	-
World Meteorological Organisation	262	117	379	-
International Civil Aviation Organisation	401	9	410	-
Total	743	120	863	

Reasons for Change in Appropriation

This appropriation increased by \$120,000 to \$863,000 for 2019/20 due to increased membership charges and unfavourable exchange rate movements.

SuperGold Card - public transport concessions for cardholders (M72)

Scope of Appropriation

This appropriation is limited to providing enhanced public transport concessions for SuperGold cardholders.

Reasons for Change in Appropriation

This appropriation increased by \$993,000 to \$30.572 million for 2019/20 due to the annual CPI increase to the appropriation.

Urban Cycleways - Local Routes (M72)

Scope of Appropriation

This appropriation is limited to expenses incurred on the investigation, design and construction of urban cycleways that will become the responsibility of local authorities.

Reasons for Change in Appropriation

This appropriation increased by \$4.295 million to \$6.078 million for 2019/20 due to the net of:

- \$6.407 million carried forward from 2018/19 to 2019/20 (increase), and
- \$2.112 million transferred to the Urban Cycleways - Crown Assets appropriation in 2019/20 (decrease).

Waka Kotahi NZ Transport Agency Palmerston North Premises (M72)

Scope of Appropriation

This appropriation is limited to expense by Waka Kotahi NZ Transport Agency on their Palmerston North premises.

Expenses

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	1,500	1,500

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the relocation of Waka Kotahi NZ Transport Agency staff to new premises in Palmerston North.

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Proportion of operational expenditure spent to budget	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$1.500 million for 2019/20 to meet the operational costs of new Palmerston North premises for Waka Kotahi NZ Transport Agency.

3.5 - Non-Departmental Capital Expenditure

Auckland City Rail Link (M72)

Scope of Appropriation

This appropriation is limited to the Crown's share of the total project costs of the Auckland City Rail Link.

Reasons for Change in Appropriation

This appropriation decreased by \$193.306 million to \$275.101 million for 2019/20 due to the net of:

- \$209.156 million transferred from 2019/20 to 2020/21 and 2021/22 to align with forecast expenditure by City Rail Link Limited (decrease)
- \$16 million carried forward from 2018/19 to 2019/20 (increase), and
- \$150,000 transferred to the Auckland City Rail Link - Operating appropriation in 2019/20 (decrease).

Aviation Security Service Loans (M72)

Scope of Appropriation

This appropriation is limited to providing loans to the Civil Aviation Authority for the purpose of investing in Aviation Security Service security screening equipment.

Reasons for Change in Appropriation

This appropriation decreased by \$18 million to \$23 million for 2019/20 due to \$18 million being transferred in to outyears to align with forecast expenditure.

Capital Investment Package - Roads, Walking and Cycling (M72)

Scope of Appropriation

This appropriation is limited to investment in specified roading, walking, and cycling projects that support the announced objectives of the Capital Investment Package.

Capital Expenditure

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	34,460	34,460

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the delivery of a package of roads and walking and cycling infrastructure across New Zealand to help future proof the economy, get cities moving and make roads safer.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Proportion of roads and walking and cycling infrastructure delivered to agreed standards and timeframes	New Measure	90% or greater	90% or greater

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$34.460 million for 2019/20 due to funding provided for the New Zealand Upgrade Programme.

Joint Venture Airports - Crown Contribution (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Joint Venture Airports - Crown Contribution (M72) This appropriation is limited to enhancements to joint venture airport terminals and runways and the Crown's share of operating losses.	Original Appropriation	2,500
	Adjustments to 2018/19	-
Commences: 01 July 2018	Adjustments for 2019/20	7,420
	Adjusted Appropriation	9,920
Expires: 30 June 2023	Actual to 2018/19 Year End	1,019
	Estimated Actual for 2019/20	2,133
	Estimate for 2020/21	4,604
	Estimated Appropriation Remaining	2,164

Reasons for Change in Appropriation

The appropriation increased by \$7.420 million to \$9.920 million due to:

- \$6.550 million for the Crown's share of capital works costs and operating losses as specified under the joint venture airports deeds, and
- \$870,000 for Regional Investment Opportunities at Taupō Airport.

Maritime New Zealand Capital Expenditure PLA (M72)

Scope of Appropriation

The estimated amount to be spent in relation to Maritime New Zealand costs, as authorised by Section 9 (1) of the Land Transport Management Act 2003.

Reasons for Change in Appropriation

This appropriation increased by \$316,000 to \$766,000 for 2019/20 to reimburse Maritime New Zealand for higher variable search and rescue costs incurred in 2018/19.

National Land Transport Programme Capital PLA (M72)

Scope of Appropriation

The estimated amount to be spent on capital works under the National Land Transport Programme, as authorised by section 9 (3) and (4) of the Land Transport Management Act 2003.

Components of the Appropriation

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
New infrastructure and renewal of State highway	780,480	132,552	913,032
Rapid transit	180,000	(146,699)	33,301
Total	960,480	(14,147)	946,333

Reasons for Change in Appropriation

This appropriation decreased by \$14.147 million to \$946.333 million for 2019/20 due to changes in how Waka Kotahi NZ Transport Agency proposes to spend its funding, with consequent changes to the split between operating and capital expenditure and the spread of expenditure across years.

Rail - KiwiRail Equity Injection (M72)

Scope of Appropriation

This appropriation is limited to equity injections to KiwiRail Holdings Limited offset by property transactions in New Zealand Railways Corporation.

Reasons for Change in Appropriation

This appropriation decreased by \$2 million to \$13 million for 2019/20 due to \$2 million transferred from 2019/20 to 2020/21 to align with forecast property sales.

Rail - KiwiRail Holdings Limited (M72)

Scope of Appropriation

This appropriation is limited to a capital injection to KiwiRail Holdings Limited to finance approved capital expenditure on the New Zealand rail system.

Reasons for Change in Appropriation

This appropriation increased by \$8.040 million to \$481.040 million for 2019/20 due to the net of:

- \$30.540 million as part of the New Zealand Upgrade Programme (increase), and
- \$22.500 million transferred from 2019/20 to 2020/21 to align expenditure with KiwiRail's forecasts for its rolling stock and interisland ferries work programmes (decrease).

Rail - New Zealand Railways Corporation Equity Injection (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Rail - New Zealand Railways Corporation Equity Injection (M72) This appropriation is limited to equity injections to New Zealand Railways Corporation relating to property transactions funded by KiwiRail Holdings Limited. Commences: 01 April 2020 Expires: 30 June 2024	Original Appropriation	45,000
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	45,000
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	30,000
	Estimate for 2020/21	15,000
	Estimated Appropriation Remaining	-

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve an equity injection to New Zealand Railways Corporation relating to property transactions funded by KiwiRail Holdings Limited.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Property transactions are carried out in line with agreed outcomes	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by the New Zealand Railways Corporation in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$45 million provide for equity injections to New Zealand Railways Corporation relating to property transactions funded by KiwiRail Holdings Limited. This appropriation is not cash from the Crown but reflects an increase in New Zealand Railways Corporation equity due to property purchases funded by KiwiRail Holdings Limited.

Rail - Wellington Metro Rail Network Upgrade (M72)*Scope of Appropriation*

The appropriation is limited to catch up investment in the Wellington metro rail network which will enable sustainable operation of the network through the Metro Rail Operating model.

Reasons for Change in Appropriation

This appropriation decreased by \$595,000 to \$43.461 million for 2019/20 due to the net of:

- \$6.680 million carried forward from 2018/19 to 2019/20 (increase), and
- \$7.275 million transferred from 2019/20 to 2020/21 to align with KiwiRail's forecast expenditure (decrease).

Regional State Highways (M72)*Scope of Appropriation*

This appropriation is limited to the investigation, design and construction of regional State highways.

Reasons for Change in Appropriation

This appropriation decreased by \$3.066 million to \$43.190 million for 2019/20 due to the net of:

- \$35.887 million carried forward from 2019/20 to 2020/21 (decrease)
- \$18.190 million for roading projects funded from the Regional Investment Opportunities fund (increase), and
- \$14.631 million carried forward from 2018/19 to 2019/20 (increase).

Urban Cycleways - Crown Assets (M72)*Scope of Appropriation*

This appropriation is limited to capital expenditure on the investigation, design and construction of urban cycleways that will become Crown assets.

Reasons for Change in Appropriation

This appropriation increased by \$2.828 million to \$4.162 million for 2019/20 due to:

- \$2.112 million transferred from the Urban Cycleways - Local Routes appropriation for 2019/20

- \$500,000 brought forward from 2020/21 to 2019/20 to align with forecast expenditure, and
- \$216,000 carried forward from 2018/19 to 2019/20

Waka Kotahi NZ Transport Agency Capital Contribution for Palmerston North Premises (M72)

Scope of Appropriation

This appropriation is limited to a capital contribution to Waka Kotahi NZ Transport Agency for Palmerston North premises expenditure.

Capital Expenditure

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	-	4,800	4,800

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve the relocation of the Waka Kotahi NZ Transport Agency premises in Palmerston North.

How Performance will be Assessed and End of Year Reporting Requirements

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Proportion of the building refurbishment programme delivered as planned	New Measure	90% or greater	90% or greater

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

This is a new appropriation of \$4.800 million for 2019/20 for the capital expenditure associated with the relocation of the Waka Kotahi NZ Transport Agency premises in Palmerston North.

Waka Kotahi NZ Transport Agency Regulatory Loans (M72)

Scope of Appropriation and Expenses

Type, Title, Scope and Period of Appropriations	Appropriations, Adjustments and Use	\$000
Waka Kotahi NZ Transport Agency Regulatory Loans (M72) This appropriation is limited to loans to Waka Kotahi NZ Transport Agency for approved regulatory cost pressures. Commences: 01 March 2020 Expires: 30 June 2024	Original Appropriation	35,500
	Adjustments to 2018/19	-
	Adjustments for 2019/20	-
	Adjusted Appropriation	35,500
	Actual to 2018/19 Year End	-
	Estimated Actual for 2019/20	25,390
	Estimate for 2020/21	10,110
	Estimated Appropriation Remaining	-

Components of the Appropriation

	2019/20		Total \$000
	Estimates \$000	Supplementary Estimates \$000	
Regulatory Costs	-	19,890	19,890
Rectification Costs	-	5,500	5,500
Total	-	25,390	25,390

What is Intended to be Achieved with this Appropriation

This appropriation is intended to achieve support for Waka Kotahi NZ Transport agency through a loan from the Crown, to fund Waka Kotahi's urgent regulatory costs and direct rectification costs associated with the backlog of regulatory non-compliance cases identified in October 2018.

How Performance will be Assessed and End of Year Reporting Requirements

Assessment of Performance	2019/20		Total Standard
	Estimates Standard	Supplementary Estimates Standard	
The loan will be drawn down for the purposes and on the terms agreed between Waka Kotahi NZ Transport Agency and the Minister of Transport	New Measure	100%	100%

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

There is a new appropriation of \$35.500 million to provide a loan to Waka Kotahi NZ Transport Agency for regulatory and rectification costs.

Part 4 - Details of Multi-Category Expenses and Capital Expenditure

4 - Multi-Category Expenses and Capital Expenditure

Policy Advice and Related Outputs (M72)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to provide policy advice and other support to Ministers in discharging their policy decision-making and other portfolio responsibilities.

Scope of Appropriation

Departmental Output Expenses

Governance and Performance Advice on Crown Agencies

This category is limited to monitoring of and advice on the governance, performance and capability of transport Crown agencies.

Ministerial Servicing

This category is limited to services to Ministers to enable them to discharge their portfolio (other than policy decision-making) responsibilities.

Policy Advice

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters relating to transport.

Expenses, Revenue and Capital Expenditure

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	44,138	11,468	55,606
Departmental Output Expenses			
Governance and Performance Advice on Crown Agencies	3,000	99	3,099
Ministerial Servicing	2,000	-	2,000
Policy Advice	39,138	11,369	50,507
Funding for Departmental Output Expenses			
Revenue from the Crown	44,138	6,032	50,170
Governance and Performance Advice on Crown Agencies	3,000	99	3,099
Ministerial Servicing	2,000	-	2,000
Policy Advice	39,138	5,933	45,071
Revenue from Others	-	5,436	5,436
Policy Advice	-	5,436	5,436

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Departmental Output Expenses			
Governance and Performance Advice on Crown Agencies			
Assessment of the quality of a sample of the Ministry governance advice papers (average score between 3 - 4)	See Note 1	Achieved	Achieved
Ministerial Servicing			
Percentage of Ministerial Servicing items that meet quality characteristics (refer conditions on use of Appropriation)	100%	No longer a measure	No longer a measure
Policy Advice			
Percentage of policy advice papers that meet the Advice Quality Characteristics (refer conditions on use of Appropriation)	100%	No longer a measure	No longer a measure
Assessment of the quality of a sample of the Ministry policy advice papers (average score between 3 - 4)	See Note 1	Achieved	Achieved
Satisfaction of the portfolio Minister with the policy advice service (average score between 2 - 3)	See Note 1	Achieved	Achieved

Note 1 - Standard will be identified based on the refreshed policy quality framework and updated in the supplementary estimates.

Reasons for Change in Appropriation

This appropriation increased by \$11.468 million to \$55.606 million for 2019/20 due to the net of:

- \$5.436 million additional revenue from third parties in 2019/20 with associated costs (increase)
- \$2.802 million carried forward from 2018/19 to 2019/20 (increase)
- \$2 million for the Upper North Island Supply Chain Strategy work programme (increase)
- \$1 million to administer the Maintaining Airfreight Capacity scheme (increase)
- \$620,000 for the governance and monitoring of the New Zealand Upgrade Programme (increase)
- \$590,000 carried forward from 2019/20 to 2020/21 (decrease)
- \$300,000 for capability to support the drone integration work programme (increase), and
- \$100,000 transferred to the Milford Sound / Piopiotahi Aerodrome Operation and Administration appropriation (decrease).

Policy Advice and Related Outputs - Civil Aviation (M72)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to regulate civil aviation and enhance aviation safety in New Zealand.

Scope of Appropriation

Non-Departmental Output Expenses

Health and Safety at Work Activities - Civil Aviation

This category is limited to health and safety activities, for which there is a designated responsibility.

International Relations and International Civil Aviation Organization Obligations

This category is limited to technical information and advice in relation to international matters affecting New Zealand aviation, to ensure the Minister's obligations in relation to international civil aviation agreements are met, and to promote the development of New Zealand aviation in the international context.

Ministerial Servicing - Civil Aviation

This category is limited to services to Ministers to enable them to discharge their portfolio (other than policy decision-making) responsibilities.

Policy Advice - Civil Aviation

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision-making by Ministers on government policy matters relating to civil aviation.

Non-Departmental Other Expenses

Improving Safety in the Aviation Sector

This category is limited to the investigation, determining compliance, and enforcement of safety in the aviation sector.

Expenses, Revenue and Capital Expenditure

	2019/20		Total \$000
	Estimates \$000	Supplementary Estimates \$000	
Total Appropriation	2,549	700	3,249
Non-Departmental Output Expenses			
International Relations and International Civil Aviation Organization Obligations	685	-	685
Ministerial Servicing - Civil Aviation	431	-	431
Policy Advice - Civil Aviation	663	-	663
Non-Departmental Other Expenses			
Improving Safety in the Aviation Sector	770	700	1,470

How Performance will be Assessed for this Appropriation

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Percentage of resident travellers that felt extremely or very safe and secure (2017 result - 76%)	75% or greater	No longer a measure	No longer a measure
Percentage of overseas travellers that felt extremely or very safe and secure (2017 result - 90%)	92% or greater	No longer a measure	No longer a measure
Percentage of resident travellers that felt extremely or very safe and secure (2019 result - 77%)	New Measure	75% or greater	75% or greater
Percentage of overseas travellers that felt extremely or very safe and secure (2019 result - 89%)	New Measure	92% or greater	92% or greater

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Non-Departmental Output Expenses			
International Relations and International Civil Aviation Organization Obligations			
We will ensure New Zealand's obligations as a signatory State to the Chicago convention are met. (The Convention on International Civil Aviation, also known as the Chicago Convention, established the International Civil Aviation Organization, a specialized agency of the UN charged with coordinating and regulating international air travel.) By:			
Maintained alignment with existing Standards and Recommended Practices (SARPs) where appropriate	New Measure	Maintained	Maintained
Alignment with new Standards and Recommended Practices (SARPs) where appropriate for New Zealand	New Measure	Achieved	Achieved
Filing of Differences within required timeframes	New Measure	100%	100%
We will ensure New Zealand's obligations as a signatory State to the Chicago convention are met. (The Convention on International Civil Aviation, also known as the Chicago Convention, established the International Civil Aviation Organization, a specialized agency of the UN charged with coordinating and regulating international air travel.) By: <ul style="list-style-type: none"> Maintained alignment with existing Standards and Recommended Practices (SARPs) where appropriate Alignment with new Standards and Recommended Practices (SARPs) where appropriate for New Zealand Filing of Differences within required timeframes 	Achieved	No longer a measure	No longer a measure
Policy Advice - Civil Aviation			
All policy advice provided to other government departments and agencies is delivered within the timeframes requested by those departments and agencies	100%	Achieved	Achieved

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Non-Departmental Other Expenses			
Improving Safety in the Aviation Sector			
Technical capability is recruited into the Authority that provides technical advice to support the integration of unmanned aircraft/drones into controlled airspace.	New Measure	Drones technical capability recruited	Drones technical capability recruited

End of Year Performance Reporting

Performance information for the appropriation will be reported by the Civil Aviation Authority in its annual report.

Reasons for Change in Appropriation

This appropriation increased by \$700,000 to \$3.249 million for 2019/20 due to a fiscally neutral adjustment from Vote Business, Science and Innovation for capability to support the drone integration work programme.

Policy Advice and Related Outputs - Maritime (M72)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to regulate and enhance safety in New Zealand's maritime environment.

Scope of Appropriation

Non-Departmental Output Expenses

Health and Safety at Work Activities - Maritime

This category is limited to health and safety activities, for which there is a designated responsibility.

Maritime Incident Response

This category is limited to building capability to respond to complex maritime pollution incidents.

Maritime Safety and Marine Protection Services

This category is limited to the development and delivery of regulatory services which are the responsibility of Maritime New Zealand under legislation

Policy Advice - Maritime

This category is limited to the provision of advice (including second opinion advice and contributions to policy advice led by other agencies) to support decision making by ministers on government policy matters and Ministerial servicing.

What is Intended to be Achieved with each Category and How Performance will be Assessed

Assessment of Performance	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Non-Departmental Output Expenses			
Maritime Incident Response			
The number of Maritime Incident Response Team (MIRT) exercises / workshops completed	4	6	6

End of Year Performance Reporting

Performance information for this appropriation will be reported by Maritime New Zealand in its annual report.

Reinstatement of the South Island Transport Corridors (M72)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to deliver the outcome of reinstated transport corridors between Picton and Christchurch.

Scope of Appropriation

Non-Departmental Output Expenses

Restoration of State Highway 1 between Picton and Christchurch

This category is limited to the restoration of State Highway 1 between Picton and Christchurch.

Non-Departmental Capital Expenditure

Rebuild of State Highway 1 between Picton and Christchurch

This category is limited to the rebuild and improvement of State Highway 1 between Picton and Christchurch.

Expenses, Revenue and Capital Expenditure

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	29,300	18,700	48,000
Non-Departmental Output Expenses			
Restoration of State Highway 1 between Picton and Christchurch	8,790	5,610	14,400
Non-Departmental Capital Expenditure			
Rebuild of State Highway 1 between Picton and Christchurch	20,510	13,090	33,600

End of Year Performance Reporting

Performance information for this appropriation will be reported by Waka Kotahi NZ Transport Agency in its annual report.

Reasons for Change in Appropriation

This appropriation increased by \$18.700 million to \$48 million for 2019/20 due to the net of:

- \$46.602 million carried forward from 2018/19 to 2019/20 (increase), and
- \$27.902 million transferred from 2019/20 to 2020/21 to align with forecast expenditure (decrease).

Tuawhenua Provincial Growth Fund - Transport Projects (M72)

Overarching Purpose Statement

The single overarching purpose of this appropriation is to achieve the outcome of a lift in the productivity potential in the regions through transport-related projects and studies that enable regions to be well connected from an economic and social perspective.

Scope of Appropriation

Non-Departmental Output Expenses

Supporting Regional and Infrastructure Projects

This category is limited to supporting transport-related regional economic development initiatives.

Non-Departmental Other Expenses

Enabling Infrastructure Projects

This category is limited to expenses incurred on local transport-related infrastructure projects that contribute to the outcome of a lift in the productivity potential in the regions.

Regional Projects and Capability

This category is limited to supporting regional development through transport-related projects, capability building, and feasibility studies for potential transport-related projects.

Non-Departmental Capital Expenditure

Infrastructure Projects

This category is limited to capital expenditure for transport-related infrastructure projects that contribute to the outcome of a lift in the productivity potential in the regions.

Rail Projects

This category is limited to a capital injection to KiwiRail Holdings Limited to finance approved rail-related projects that contribute to the outcome of a lift in the productivity potential in the regions.

Expenses, Revenue and Capital Expenditure

	2019/20		
	Estimates \$000	Supplementary Estimates \$000	Total \$000
Total Appropriation	42,918	206,710	249,628
Non-Departmental Output Expenses			
Supporting Regional and Infrastructure Projects	-	3,995	3,995
Non-Departmental Other Expenses			
Enabling Infrastructure Projects	8,100	115,370	123,470
Regional Projects and Capability	1,000	3,865	4,865
Non-Departmental Capital Expenditure			
Infrastructure Projects	218	19,080	19,298
Rail Projects	33,600	64,400	98,000

What is Intended to be Achieved with each Category and How Performance will be Assessed

	2019/20		
	Estimates Standard	Supplementary Estimates Standard	Total Standard
Assessment of Performance			
Non-Departmental Other Expenses			
Enabling Infrastructure Projects			
Work is carried out as per the agreed programme	New Measure	100%	100%
Non-Departmental Capital Expenditure			
Infrastructure Projects			
Proportion of Transport Agency projects funded by the Provincial Growth Fund delivered to standards and timeframes	90%	90% or greater	90% or greater

Service Providers for the Multi-Category Appropriation

Provider	2019/20 Final Budgeted \$000	2019/20 Estimated Actual \$000	2020/21 Budget \$000	Expiry of Resourcing Commitment
Waka Kotahi NZ Transport Agency	82,228	82,228	79,083	-
KiwiRail	167,400	167,400	124,100	-
Total	249,628	249,628	203,183	

The table above shows the main service providers for this appropriation.

Reasons for Change in Appropriation

This appropriation increased by \$206.710 million to \$249.628 million for 2019/20 due to the net of:

- \$94.800 million for essential rail repairs on the North Auckland Line (increase)
- \$56 million brought forwards to 2019/20 from outyears for the Provincial Rail Growth Project (increase)
- \$41.137 million carried forward from 2018/19 to 2019/20 (increase)
- \$37.855 million carried forward from 2019/20 to 2020/21 for North Auckland Line (decrease)
- \$27.905 million for local road projects in Tairāwhiti (increase)
- \$25.455 million for further North Auckland Line rail work, including land purchases (increase)
- \$16 million carried forward from 2019/20 to outyears for the Palmerston North Regional Economic Growth Hub (decrease)
- \$9.942 million carried forward from 2019/20 to 2020/21 (decrease)
- \$9.500 million for further work within the Tairāwhiti Rooding Package (increase)
- \$5.580 million for further work within the Kaipara Package (increase)
- \$2.900 for the Hawke's Bay Package (increase)
- \$2.811 million for Waka Kotahi NZ Transport Agency to support implementation and administration (increase)
- \$2 million for the Dannevirke Rail Hub (increase)
- \$1.750 million for Route 52 improvements (increase)
- \$820,000 for the sealing of Tangarakau Gorge on State Highway 43 (increase), and
- \$151,000 returned to the Provincial Growth Fund for unspent administration funding from 2018/19 (decrease).